

## Research Article

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## The Human Gut Microbiome as a Screening Tool for Colorectal Cancer

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## Abstract

Recent studies have suggested that the gut microbiome may be an important factor in the development of colorectal cancer. Abnormalities in the gut microbiome have been reported in patients with colorectal cancer; however, this microbial community has not been explored as a potential screen for early-stage disease. We characterized the gut microbiome in patients from three clinical groups representing the stages of colorectal cancer development: healthy, adenoma, and carcinoma. Analysis of the gut microbiome from stool samples revealed both an enrichment and depletion of several bacterial populations associated with adenomas and carcinomas. Combined with known clinical risk factors of colorectal cancer (e.g., BMI, age, race), data from the gut microbiome significantly improved the ability to differentiate between healthy, adenoma, and carcinoma clinical groups relative to risk factors alone. Using Bayesian methods, we determined that using gut microbiome data as a screening tool improved the pretest to posttest probability of adenoma more than 50-fold. For example, the pretest probability in a 65-year-old was 0.17% and, after using the microbiome data, this increased to 10.67% (1 in 9 chance of having an adenoma). Taken together, the results of our study demonstrate the feasibility of using the composition of the gut microbiome to detect the presence of precancerous and cancerous lesions. Furthermore, these results support the need for more cross-sectional studies with diverse populations and linkage to other stool markers, dietary data, and personal health information. *Cancer Prev Res*; 7(11); 1112–21. ©2014 AACR.

## Introduction

Worldwide, colorectal cancer is the third most commonly diagnosed malignancy and accounts for over a half million deaths annually (1). Development of colorectal cancer is a stepwise process by which localized precancerous adenomatous polyps (adenomas) develop in the colon and progress into invasive and metastatic cancerous tumors (carcinomas) overtime (2). Development of carcinomas is largely preventable if adenomas are detected and removed (3), with a colorectal cancer survival rate exceeding 90% if the diagnosis occurs while the disease is still localized. However, there is a dramatic decline in survival following invasion and metastasis (4). Thus, early detection at the adenoma stage of this disease has been critical for successful treatment and survival.

From 1975 to 2010, death rates from colorectal cancer have steadily decreased in the United States, with a 2.8% average annual decline (4). Screening with high-sensitivity fecal occult blood testing (FOBT), sigmoidoscopy, and colonoscopy has improved survival rates and is recommended for adults 50 to 75 years of age (5). In particular, colonoscopies allow for full examination of the bowel with the opportunity for same-session colonic biopsies and removal of polyps. However, more than 30% of adults in the United States do not receive age and risk-appropriate screenings and surveys indicate that 50% to 60% of adults prefer noninvasive screening methods (6, 7). Lack of compliance with these recommendations may be due in part to the intrusiveness and uncomfortable nature of the colonoscopy procedure. Furthermore, the healthcare costs of screening for colorectal cancer by colonoscopy are considerable, ranging from \$800 to \$3160 per procedure in 2012, which was undergone by more than 48 million 50- to 75-year-old Americans (8, 9). Therefore, there is a need to develop cost-effective noninvasive screening methods to prioritize individuals for further evaluation by colonoscopy. One of the most commonly used noninvasive screening procedures is the guaiac fecal occult blood test (gFOBT), which detects blood in an individual's feces (10). Occult blood in stool can indicate the presence of advanced adenomas and carcinomas in the colon, but can also indicate a wide variety of other disorders and factors that

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may lead to false-positive tests (11). Although the specificity of the method ranges from 87% to 98% (10), the sensitivity can be as low as 9% to 12% (10). With repeated testing using multiple stool samples and regular screening intervals, sensitivity can be dramatically improved (3). Despite these limitations, gFOBT has been shown to reduce mortality from colorectal cancer by 15% to 33%, highlighting the effectiveness of noninvasive screening measures (12–14).

Approximately 70% of colorectal cancer cases develop spontaneously and are of unknown etiology (2). Factors associated with increased risk of colorectal cancer include diet, alcohol, and chronic inflammation of the gastrointestinal tract (15–17). Recently, there has been increasing appreciation for a largely understudied variable in colorectal cancer, the gut microbiome. This collection of symbiotic microorganisms inhabits the gastrointestinal tract and is associated with diseases such as obesity and inflammatory bowel disease (18, 19). In animal studies, evidence suggests that through interaction with the immune system, production of cancer-associated metabolites, and the release of genotoxic virulence factors, bacteria can directly contribute to the development of colorectal cancer (20–22). Furthermore, in human studies, patients with colorectal cancer have an abnormal gut microbiome structure when compared with healthy patients (23–25). Taken together, this suggests that the gut microbiome might be a candidate biomarker for early detection of colorectal cancer.

We hypothesized that using novel microbiome biomarkers of colorectal cancer in concert with known clinical risk factors could improve the ability to identify candidates for colonoscopy. We compared the microbiome of healthy individuals, persons with adenomas, and patients with colorectal carcinomas. We sequenced the V4 region of the 16S rRNA gene from the feces of each individual using the Illumina MiSeq sequencing platform. The resulting data were used to test our hypothesis that the incorporation of microbiome data would significantly improve the ability to distinguish among the 3 types of individuals, beyond clinical (demographic) data and FOBT results. This analysis demonstrates that the microbiome provides a powerful

source of biomarkers for identifying individuals harboring adenomas and carcinomas.

## Materials and Methods

### Study design and sample collection

As part of the National Cancer Institute–funded Early Detection Research Network (EDRN), the Great Lakes–New England Clinical Epidemiological Center (GLNE CEC) created a biorepository that included whole evacuated stool for studies on potential molecular markers for the detection of colonic precancerous and cancerous conditions and cancer risk assessment. This study was approved by the University of Michigan Institutional Review Board and all subjects provided informed consent. Eligible patients were 18 years of age or older, able to tolerate 58 mL of blood removal at 2 time points, willing to complete an gFOBT Kit, able to provide informed consent, and had colonoscopy and histologically confirmed colonic disease status. Patients were excluded if known HIV or chronic viral hepatitis, known HNPCC or FAP, inflammatory bowel disease, any surgery, radiation or chemotherapy for their current colorectal cancer or colonic adenoma. Colonoscopies were performed and fecal samples were collected from subjects in 4 locations: Toronto (Ontario, Canada), Boston (Massachusetts, USA), Houston (Texas, USA), and Ann Arbor (Michigan, USA). Following endoscopic examination, patients without colonic abnormalities were designated as healthy. Examinations that revealed the presence of lesions resulted in a biopsy and subsequent diagnosis of adenoma or carcinoma. For each patient, clinical data were collected, including demographic information and the results of the gFOBT (Table 1). There were no significant differences in age or current medication use among the 3 patient groups. However, among our samples, men, whites, and those with greater BMI were more likely to have colorectal cancer (Table 1).

All participants collected a whole evacuated stool in a hat with no preservatives after following the usual dietary and medication restrictions for 24 hours. Immediately after collection, the patient prepared a gFOBT 6-Panel Kit (Sensa Hemocult II; Beckman-Coulter) from different areas of the

**Table 1.** Characteristics of subjects in each clinical group

	Healthy	Adenoma	Cancer	P value
Age, years (mean, SD)	55.3 (9.2)	61.3 (11.1)	59.4 (11.0)	0.080
Gender (n, %)				
Men	11 (37%)	18 (60%)	21 (70%)	
Women	19 (63%)	12 (40%)	9 (30%)	0.029
Race/ethnicity				
Non-Hispanic White	21 (70%)	27 (90%)	28 (93%)	
Other	9 (30%)	3 (10%)	2 (7%)	0.026
BMI (mean, SD)	26.6 (5.2)	27.4 (4.4)	30.7 (7.2)	0.022
Current medication use (n, %)	23 (77%)	21 (70%)	26 (87%)	0.295
Positive FOBT (n, %)	0 (0%)	4 (13%)	22 (73%)	0.001

stool. The whole stool was then packaged in an insulated box with ice packs and shipped to the processing center along with the gFOBT cards via next day delivery. Upon receipt, the feces were stored at  $-80^{\circ}\text{C}$ . The gFOBT was processed and interpreted as soon as it arrived at the processing center. If any of the 6 wells were positive, the Kit was recorded as positive for the participant. All participants had intact colonic lesions at time of stool collection. Study participants provided their stool sample between 1 and 2 weeks after their colonoscopy preparation. This period of time has previously been shown to be sufficient to allow the microbiome to recover (26). We were provided with 90 stool samples and linked data randomly chosen from disease groups of healthy ( $n = 30$ ), colonic adenoma ( $n = 30$ ), and colonic adenocarcinoma ( $n = 30$ ).

#### DNA extraction and 16S rRNA gene sequencing

Microbial genomic DNA was extracted using the PowerSoil-htp 96 Well Soil DNA Isolation Kit (Mo Bio Laboratories) using an EPMotion 5075 pipetting system. The V4 region of the 16S rRNA gene from each sample was amplified and sequenced using the Illumina MiSeq Personal Sequencing platform as described elsewhere (27). Sequences were curated as described previously using the mothur software package (28). Briefly, we reduced sequencing and PCR errors, aligned the resulting sequences to the SILVA 16S rRNA sequence database (29), and removed any chimeric sequences flagged by UCHIME (30). After curation, we obtained between 25,953 and 404,696 sequences per sample (median = 95,464), with a median length of 253 bp. To limit effects of uneven sampling, we rarefied the dataset to 25,953 sequences per sample. Parallel sequencing of a mock community revealed an error rate of 0.03%. All fastq files and the MIMARKS spreadsheet are available at <http://www.mothur.org/MicrobiomeBiomarkerCRC>.

#### Gut microbiome biomarker discovery analysis

Sequences were clustered into operational taxonomic units (OTU) at a 97% similarity cutoff and the relative abundance was calculated for OTUs in each sample. All sequences were classified using a naïve Bayesian classifier trained against the RDP training set (version 9; <http://sourceforge.net/projects/rdp-classifier/>) and OTUs were assigned a classification based on which taxonomy had the majority consensus of sequences within a given OTU (31). Differentially abundant OTUs were selected using the biomarker discovery algorithm, LefSe [linear discriminant analysis (LDA) effect size] for each pairwise comparison of clinical groups (ref. 32; healthy vs. adenoma, healthy vs. carcinoma, adenoma vs. carcinoma, healthy vs. colonic lesion). In short, LefSe first uses a nonparametric factorial Kruskal–Wallis sum-rank test to identify differentially abundant OTUs. This is followed by a set of pairwise tests among clinical groups to ensure biologic consistency using the Wilcoxon rank-sum test. LDA is then used to estimate the effect size of each differentially abundant OTU. We then ranked LefSe statistics to assess greatest differences in microbial relative abundance across patient groups.

#### Data analyses

Analyses of patient-level characteristics across the 3 clinical groups utilized Pearson  $\chi^2$  test for categorical data and one-way ANOVA for continuous variables. Clinical variables evaluated were age, gender, race/ethnicity, body mass index (BMI,  $\text{kg}/\text{m}^2$ ), and current medications. One missing value for BMI was imputed. Logit models were generated using both clinical and microbiome data as independent variables to contrast differences across disease groups (i.e., healthy vs. adenomas, healthy vs. cancer, adenomas vs. cancer). OTUs demonstrating the highest LDAs were entered into a logit model and their ability to discriminate group classification was evaluated using area under the receiver operator characteristic (ROC) curve. We used a maximum of 6 OTUs for each model to avoid potentially overfitting the model. It is important to note that in the first phase of the data analyses, the greatest ranked differences in OTUs (represented by the LefSe statistic) were used to select the OTUs, not through multiple hypothesis testing. Differences between nested logit models were compared using the test for the equality of ROC areas (33). Data were available on gFOBT status and therefore, this was entered as an independent variable when comparing adenoma versus carcinoma. Although we considered possible options for validation, both cross-validation and bootstrapping have been shown to be unreliable in small samples (34). However, Bayesian intervals have been recommended for analyses of cross-classification in small samples and therefore, we calculated 95% Bayesian intervals for the Youden  $J$  statistic (maximum percentage correctly classified) in the final microbiome models (34). It is important to note that, in our cross-classification, there was no knowledge of types of microorganisms present in the feces at the time of determination of lesions (normal, adenoma, carcinoma). Therefore, our cross-classification variables are assumed to be independent in this regard (blinded assessment) and fulfill underlying assumptions of testing. We tested using an experiment wide error rate (i.e.,  $\alpha$ ) of 0.05 and performed 2-tailed tests. Analyses were conducted in Stata/MP 13.1.

We used Bayesian methods to estimate the probability of adenoma based on relative abundance data taken from the gut microbiome (35). Because colorectal cancer screening involves detection of early stages of disease, data from the model differentiating adenoma from healthy colons formed the basis of a preliminary screening test. Sensitivity, specificity, and positive likelihood ratios were calculated based on our study results, with failure to detect any appreciable level of any of these 5 OTUs (0 relative abundance) indicating possible pathology (i.e., positive test). Because the false-positive rate of this test was 0%, we applied a continuity correction of 0.1 to each cell and calculated the likelihood ratio of a positive test and the 95% confidence intervals (CI) using Jeffreys' Bayesian credible interval (36). The likelihood ratio was then applied to the pretest probability of colorectal cancer based on national Surveillance Epidemiology and End Results (SEER) data, years 2000 to 2010 (4).

## Results

### Comparison of healthy and adenoma clinical groups

We utilized logit regression models to differentiate between patients in the healthy and adenoma clinical groups. Preliminary models were generated using age, gender, race/ethnicity, BMI, and medication use as independent variables. For these subjects, both age and race were significantly associated with the presence of adenomas (AUC = 0.713; 95% CI, 0.580–0.845;  $P = 0.009$ ). There were also differences in the gut microbiome between individuals with and without adenomas. Relative to healthy subjects, subjects with adenomas had higher relative abundances of OTUs affiliated with the Ruminococcaceae (OTU 21), Clostridium (OTU 60), *Pseudomonas* (OTU 3322), and Porphyromonadaceae (OTUs 1901 and 1903); they had lower relative abundances of OTUs affiliated with the *Bacteroides* (OTUs 1889 and 1913), Lachnospiraceae (OTU 36), Clostridiales (OTU 38), and *Clostridium* (OTUs 20, 97, 99; Supplementary Fig. S1). The model that yielded the greatest differentiation between adenoma and healthy groups included age, race, and 5 OTUs (OTUs 38, 99, 136, 1889, 1913; Fig. 1A). The addition of these 5 OTUs significantly improved the predictive ability of the model beyond that of age and race only (AUC = 0.896; 95% CI, 0.816–0.976;  $P = 0.002$ ; Fig. 1B). Youden  $J$  statistic fell at a sensitivity of 90% and specificity of 80% in this model, yielding a 4.5-fold increase in posttest to pretest probability of detecting adenoma (95%, 3.3–6.0-fold).

### Comparison of healthy and carcinoma clinical groups

Next, we generated logit models using clinical and microbiome data to differentiate between patients in the healthy and carcinoma groups. Age, race, and BMI were predictive of carcinomas (AUC = 0.798; 95% CI, 0.686–0.910;  $P < 0.001$ ). We observed that relative to healthy subjects, subjects with carcinomas had higher abundances of OTUs associated with *Fusobacterium* (OTU 2458), *Porphyromonas* (OTU 1905), Lachnospiraceae (OTUs 31, 59, 32, 116, 85), and Enterobacteriaceae (OTU 2479); they had lower relative abundances of OTUs affiliated with the *Bacteroides* (OTU 1889), Lachnospiraceae (OTUs 23, 30, 253, 136), and Clostridiales (OTU 42; Supplementary Fig. S2). To test the hypothesis that the gut microbiome could improve our ability to predict the presence of carcinomas, we added these OTUs to the logit model we generated based on the subjects' age, race, and BMI (Fig. 2B). The model with the greatest discriminatory ability included age, race, BMI, and 6 OTUs (OTUs 136, 1901, 1905, 1913, 2479, 2458; Fig. 2A). This model significantly improved the ability to distinguish between healthy and carcinoma compared with the model containing age, race, and BMI only (AUC = 0.922; 95% CI, 0.858–0.986;  $P = 0.012$ ; Fig. 2B). Youden  $J$  statistic occurred at a sensitivity of 90% and a specificity of 83.3% in the full model, yielding a 5.4-fold increase in posttest to pretest probability of detecting carcinoma (95%, 4.1–7.0-fold).

### Comparison of healthy individuals to those with colonic lesions

Next, we explored the ability of the gut microbiome to differentiate between healthy subjects and those with

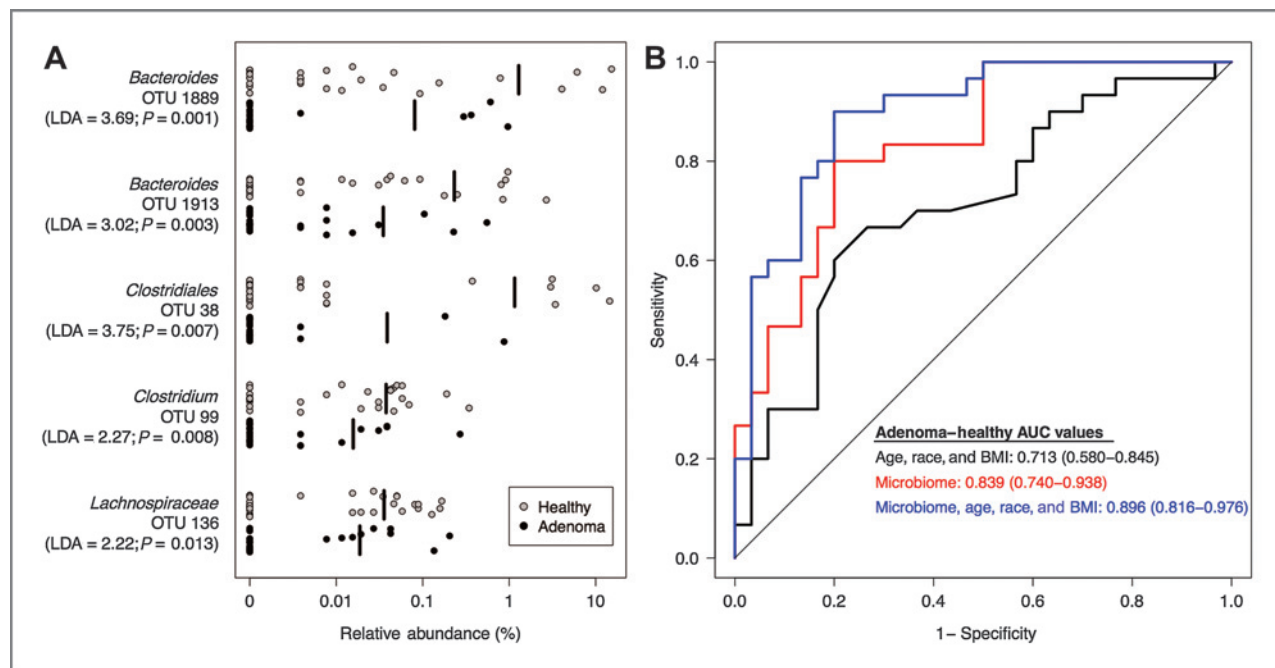


Figure 1. Microbial biomarkers improve accuracy of predictive models for healthy and adenoma clinical groups. A, relative abundance of differentially abundant OTUs for all healthy ( $n = 30$ ; gray) and adenoma ( $n = 30$ ; black) subjects. The mean relative abundance is represented for each clinical group by a vertical black line. B, ROC curves for microbial biomarkers alone, clinical data alone, and microbial biomarkers with clinical data. The straight line represents the null model.

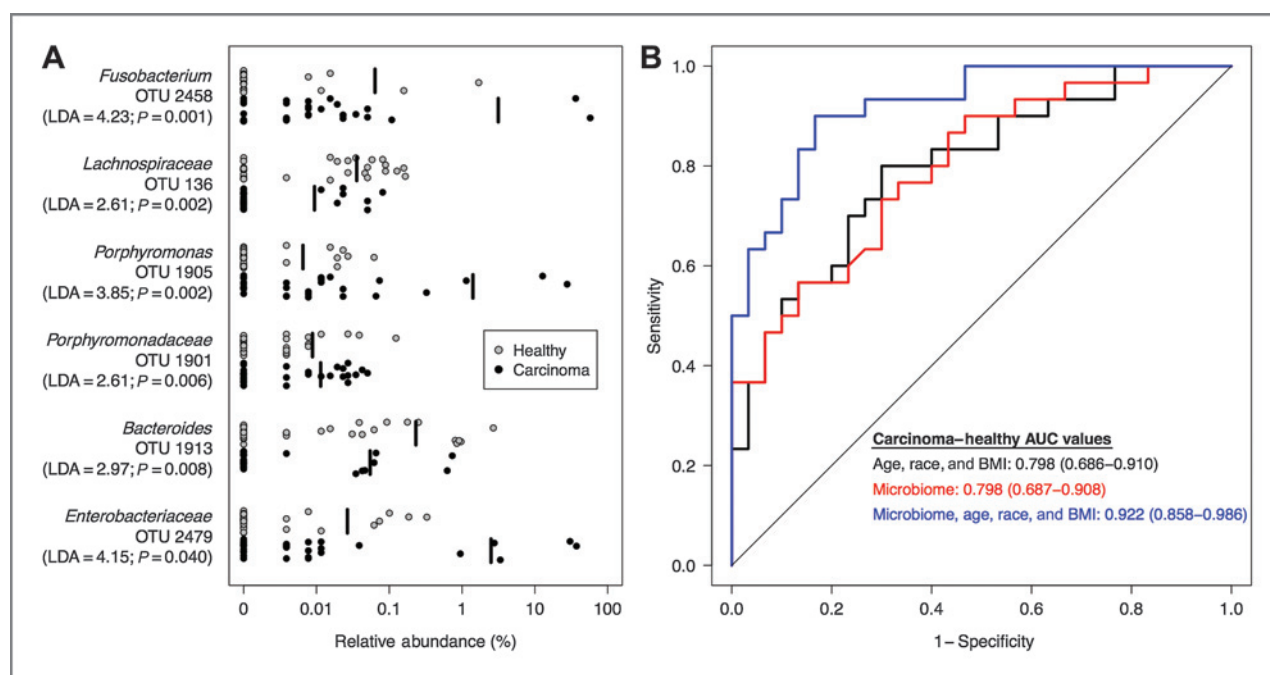


Figure 2. Microbial biomarkers improve accuracy of predictive models for healthy and carcinoma clinical groups. A, relative abundance of differentially abundant OTUs for all healthy ( $n = 30$ ; gray) and carcinoma ( $n = 30$ ; black) subjects. The mean relative abundance is represented for each clinical group by a vertical black line. B, ROC curves for microbial biomarkers alone, clinical data alone, and microbial biomarkers with clinical data. The straight line represents the null model.

either adenoma or carcinomas. Thus, we combined the clinical and microbiome data from adenoma and carcinoma subjects to create a combined colonic lesion group. We then generated a logit model to differentiate between healthy subjects and the colonic lesion group. Clinical variables that were predictive of colonic lesion were age, gender, and race (AUC = 0.754; 95% CI, 0.648–0.859; Fig. 3). To test the hypothesis that the gut microbiome could improve our ability to predict the presence of colonic lesions regardless of stage, we added 6 OTUs (OTU 136, 253, 1889, 1897, 1913, and 2891; Supplementary Fig. S3) to this logit model. Age, gender, race, and these 6 OTUs significantly improved the ability to distinguish between the healthy and colonic lesion combined groups (AUC = 0.936; 95% CI, 0.887–0.985;  $P < 0.001$ ; Fig. 3).

#### Comparison of adenoma and carcinoma clinical groups

Finally, we generated logit models using clinical and microbiome data to differentiate between patients in the adenoma and carcinoma groups. A patient's BMI was the only clinical variable that discriminated between the adenoma and carcinoma clinical groups (AUC = 0.658; 95% CI, 0.518–0.799;  $P = 0.023$ ). When examining populations within the gut microbiome, relative to subjects with adenomas, those with carcinomas harbored higher relative abundances of OTUs that affiliated with the *Fusobacterium* (OTU 2458), *Bacteroides* (OTU 1882), *Phascolarctobacterium* (OTU 2395), and *Porphyromonas* (OTU 1905). In contrast,

OTUs affiliated with *Blautia* (OTU 9), *Ruminococcus* (OTU 16), *Clostridium* (OTUs 60 and 93), Lachnospiraceae (OTU 12 and 23) were more abundant in subjects with adenomas (Supplementary Fig. S4). Next, we constructed a logit model to differentiate between the adenoma and carcinoma clinical groups using BMI with microbiome data. The model that provided the greatest differentiation between carcinoma and adenoma included BMI and 4 OTUs (OTUs 1905, 2395, 2458, and 3235; Fig. 4A). This model provided significantly greater discrimination than BMI alone (AUC = 0.963; 95% CI, 0.921–1.00;  $P < 0.001$ ; Fig. 4B). Examination of the relative abundance of OTUs associated with the *Fusobacterium* genera revealed no significant associations between *Fusobacterium* and the stage or location of carcinomas.

#### Complementing gFOBT test with microbiome-based models

Because gFOBT is the most common, noninvasive screening tool for colorectal cancer, we evaluated whether the microbiome-based models could be improved by including gFOBT results. The gFOBT test had 100% specificity in our study when comparing healthy individuals to those with colonic lesions. That is, patients without colonic lesions tested negative on the gFOBT. In an analysis comparing adenoma and carcinoma groups, the odds ratio for gFOBT was 3.76 (95% CI, 1.04–13.65) when entered as a single explanatory variable, with AUC = 0.617. In contrast, the microbiome data alone yielded an AUC of 0.952. The model combining BMI, gFOBT, and the microbiome data

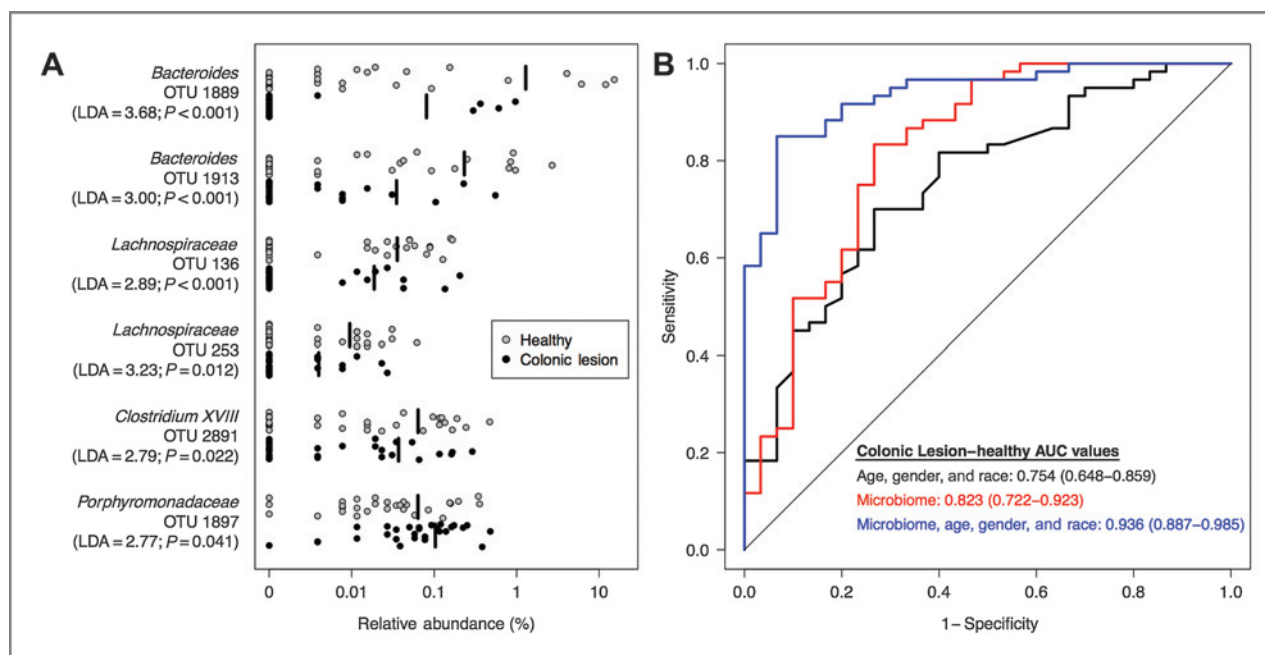


Figure 3. Microbial biomarkers improve accuracy of predictive models for differentiating between healthy subjects and those with colonic lesions. Adenoma and carcinoma subjects were combined into a single clinical group (lesions;  $n = 60$ ). A, relative abundance of differentially abundant OTUs for healthy ( $n = 30$ ; gray) subjects and those with lesions ( $n = 60$ ; black). The mean relative abundance is represented for each clinical group by a vertical black line. B, ROC curves for microbial biomarkers alone, clinical data alone, and microbial biomarkers with clinical data. The straight line represents the null model.

(OTUs 1905, 2395, 2458, and 3235) provided excellent discriminatory ability (AUC = 0.969; 95% CI, 0.935–1.000; Fig. 4B).

#### Application of microbiome results to population data

To further test the capacity of the gut microbiome as a colorectal cancer screening candidate, we extracted data

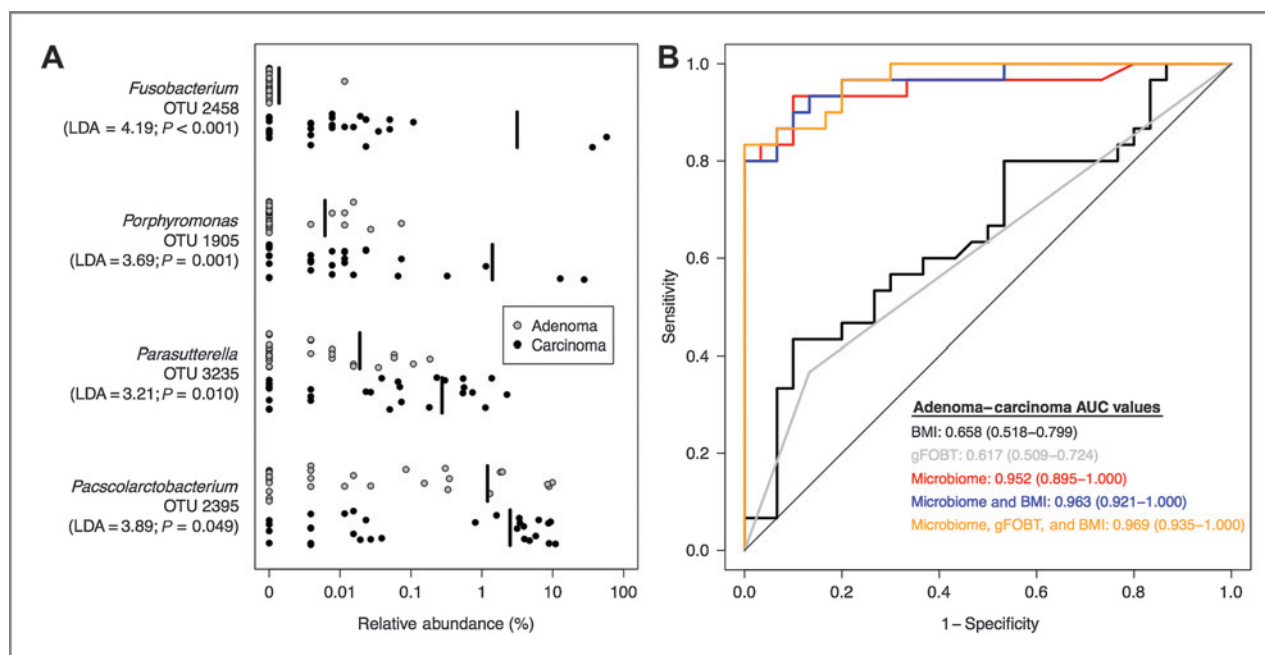


Figure 4. Microbial biomarkers improve accuracy of predictive models for adenoma and carcinoma clinical groups. A, relative abundance of differentially abundant OTUs for adenoma ( $n = 30$ ; gray) and carcinoma ( $n = 30$ ; black) subjects. The mean relative abundance is represented for each clinical group by a vertical black line. B, ROC curves for microbial biomarkers alone, clinical data alone, FOBT alone, microbial biomarkers with clinical data, and microbial biomarkers with FOBT and clinical data. For each comparison, the straight line represents the null model.

**Table 2.** Posttest probability of microbiome-based adenoma screen

Age at diagnosis (years)	Incidence rate (per 100,000 people) <sup>a</sup>	Pretest probability	Pretest odds	Posttest odds <sup>b</sup>	Posttest probability	95% CI for posttest probability
35–39	8.2	0.0001	0.000082	0.0058	0.0058	0.0045–0.0074
40–44	15.8	0.0002	0.000158	0.0112	0.0111	0.0092–0.0133
45–49	29.1	0.0003	0.000291	0.0207	0.0203	0.0177–0.0232
50–54	55.8	0.0006	0.000558	0.0396	0.0381	0.0345–0.0420
55–59	77.0	0.0008	0.000771	0.0547	0.0519	0.0477–0.0564
60–64	112.0	0.0011	0.001122	0.0796	0.0738	0.0688–0.0790
65–69	168.0	0.0017	0.001683	0.1195	0.1067	0.1008–0.1129
70–74	223.4	0.0022	0.002239	0.1590	0.1372	0.1306–0.1440
75–79	283.3	0.0028	0.002841	0.2017	0.1678	0.1606–0.1752
80–84	337.1	0.0034	0.003382	0.2401	0.1936	0.1859–0.2014
85+	376.4	0.0038	0.003778	0.2682	0.2115	0.2036–0.2196

<sup>a</sup>Based on SEER data, years 2000 to 2010.

<sup>b</sup>Likelihood ratio of a positive test = 71.

from SEER for age-specific incidence rates of colorectal cancer in the United States. Because likely candidates for colorectal cancer screening would target identification of early-stage disease (adenoma), we designed a preliminary screening test based on the 5 OTUs (OTUs 38, 99, 136, 1889, and 1913), which were enriched in healthy subjects compared with patients with adenomas. Persons who had any detectable levels (relative abundance > 0) of these 5 OTUs were more likely to have healthy colons and constituted a negative test. Using a Bayesian model, we calculated the positive likelihood ratio for this preliminary screening test and applied it to population probabilities of SEER for each age group (Table 2). The likelihood ratio of this test was 71 [95% CI, 64.78–77.22; sensitivity = 23.3% (7/30); specificity = 100% (30/30)]. As can be seen in Table 2, individuals who are 65 years of age had a pretest probability of SEER of 0.17% based on nationwide SEER data. When we applied the OTU test to this age group, the probability of adenoma was 10.67% after knowing the microbiome data (1 in 9 chance of having an adenoma). For people 50 years of age, the results suggest a one in 26 chance of having an adenoma with a positive OTU test, and for adults 80 years of age; a positive OTU test yielded a 1 in 5 chance of having an adenoma.

For comparison purposes, we assessed the pretest-to-posttest probabilities of detecting adenoma based on the gFOBT results in this sample. The likelihood ratio of a positive gFOBT was 41 (95% CI, 34.75–47.25), which was lower than the likelihood ratio of a positive microbiome test (i.e., LR+ = 71). For a person who is 65 years of age with a positive gFOBT, the posttest probability of adenoma was 6.46%, indicating a 1 in 15 chance of having an adenoma. This contrasts with the 10.67% probability of adenoma (1 in 9 chance) using a positive microbiome test in the same 65-year-old. Although both tests had good specificity in this sample, the sensitivity of the microbiome test was greater than the sensitivity of the gFOBT.

## Discussion

Our results suggest that relative abundance data from the human gut microbiome differentiates individuals with healthy colons from those with adenomas and carcinomas. Most importantly, there was a significant difference in the gut microbiome of people with colonic adenomas compared with those with healthy colons. This has considerable importance in secondary prevention because screening for early-stage colorectal cancer hinges on the ability to detect early pathologic changes. In this regard, we found that failure to detect at least 1 of the 5 OTUs served as a signal of the presence of adenoma. The probability of having an adenoma rose more than 50-fold with this added information about microbiome. Taken with the existing literature about the importance of the gut microbiome in health and disease, our study further suggests that the microbiome may play a crucial role in the etiology of colorectal cancer.

A strength of our study design was that we collected samples from 3 clinical groups that represented the multistage progression in colorectal cancer (healthy, adenoma, and carcinoma). This allowed us to identify a panel of bacterial populations that could indicate both the progression from healthy tissue to adenoma and the progression from adenoma to carcinoma. Interestingly, when we looked at each patient, we rarely observed significant enrichment of every bacterial population among the OTUs incorporated in the logit models. For example, 11 of the 30 carcinoma patients had no detectable levels of *Fusobacterium*. However using the relative abundance data for the remaining panel of microbial biomarkers, such as *Porphyromonas*, *Bacteroides*, and *Enterobacteriaceae*, we were able to accurately classify these subjects. This strongly suggests that there may be multiple underlying mechanisms by which the microbiome is involved in colorectal cancer and that colorectal cancer is likely a polymicrobial disease.

Our findings are supported by previous evidence. Three research groups reported that *Fusobacterium* spp. were enriched on the surface of tumors compared with adjacent healthy tissue (22, 37, 38). Building upon these clinical studies, animal and tissue culture-based studies have provided evidence that *Fusobacterium* may contribute to tumor multiplicity through the recruitment of immune cells to tumors (22, 37). These mechanistic studies agree with our findings that *Fusobacterium* may be a marker for the presence of tumors. In addition, enterotoxigenic *Bacteroides fragilis* (ETBF), a pathogenic variant of a common commensal, has been shown to directly influence the development of colorectal cancer in murine genetic models through the production of a metalloprotease toxin (39). In our samples, subjects with carcinomas showed an increase in the relative abundance of one *Bacteroides* population (OTU 1882) compared with subjects with adenomas. However, PCR-based screens for the toxin producing genes did not reveal the presence of ETBF. In addition, we observed a significant decrease in the relative abundance of *Bacteroides* populations (OTUs 1889 and 1913) associated with the advancement of tumorigenesis. Finally, a polyketide synthetase operon from *Escherichia coli* was shown to influence the progression of tumors using a murine model of inflammation-derived tumorigenesis (21, 23). Although we did see an enrichment for non-*E. coli* Enterobacteriaceae in the carcinoma subjects relative to the healthy subjects, we were unable to detect significant differences in the relative abundance of *E. coli* across the 3 clinical groups.

It is tempting to speculate on the enrichment of *Fusobacterium* and *Porphyromonas* spp. in subjects with colorectal cancer. Both of these bacterial taxa are common commensals of the mouth and a wealth of literature has linked them to chronic inflammation and periodontal disease (40, 41). It is possible that the mouth is a reservoir for these pathogens, allowing for colonization of the gastrointestinal tract under abnormal environmental conditions. During colorectal carcinogenesis, dramatic physiologic changes occur in the microenvironment of colonic lesions (42). Tumor-associated fluxes in nutrients and shifts in inflammatory mediators may favor colonization by opportunistic pathogens such as *Fusobacterium* and *Porphyromonas*. As demonstrated by Kostic and colleagues, colonization by such pathogens can support the development and progression of colorectal cancer (22, 37). We were unable to detect a significant association between either population and carcinoma severity or location. Additional studies are needed to examine how and at what stage these bacterial populations are affecting the development of colorectal cancer and how they may be linked to the oral microbiome and related to oral disease.

As highlighted above, there is a clear association with the enrichment of pathogenic bacterial populations and colon tumorigenesis; however, in this study we emphasize that the depletion of potentially protective bacteria likely plays a similar role colorectal cancer pathology. We identified several bacterial populations that were signif-

icantly depleted in colorectal cancer. Individuals with both adenomas and carcinomas showed a dramatic loss in OTUs associated with the genera *Clostridium* and *Bacteroides*, and the family *Lachnospiraceae* (43–45). Each of these bacterial taxa are well known producers of short chain fatty acids (SCFA) in the colon. SCFAs are important microbial metabolites that supply nutrients to colonocytes and help maintain epithelial health and homeostasis. Specifically, the SCFA, butyrate, has been shown to have substantial antitumorigenic properties, including the ability to inhibit tumor cell proliferation, initiate apoptosis in tumor cells (46), and mediate T-regulatory cell homeostasis (44). Loss of these important bacterial populations in concert with an enrichment of pathogenic populations likely plays a synergistic role in potentiating tumorigenesis.

Although our results are important, there are limitations to the investigation. A larger, more diverse sample of individuals is needed to augment and validate our findings. Furthermore, although our study clearly demonstrates the viability of using the gut microbiome as a biomarker for colorectal cancer, we cannot assess the bacterial populations' role in causation or the mechanisms by which these populations affect the development and progression of colorectal cancer. Regardless, the feasibility, lack of invasive procedures, ability to be complement existing screening methods (e.g., gFOBT), and the strength of signal seen in this study support the further investigation and application of microbial biomarkers from stool as a method for colorectal cancer screening.

#### Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

#### Authors' Contributions

**Conception and design:** J.P. Zackular, M.T. Ruffin IV, P.D. Schloss  
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**Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.):** J.P. Zackular, M.T. Ruffin IV, P.D. Schloss  
**Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis):** J.P. Zackular, M.A.M. Rogers, M.T. Ruffin IV, P.D. Schloss  
**Writing, review, and/or revision of the manuscript:** J.P. Zackular, M.A.M. Rogers, M.T. Ruffin IV, P.D. Schloss  
**Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases):** P.D. Schloss  
**Study supervision:** M.T. Ruffin IV, P.D. Schloss

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