Indeterminate Pulmonary Nodules: Risk for Having or for Developing Lung Cancer?

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Abstract

This perspective discusses the report by Pinsky and colleagues, which addresses whether noncalcified pulmonary nodules identified on CT screening carry short- and long-term risk for lung cancer. We are facing challenges related to distinguishing a large majority of benign nodules from malignant ones and among those a majority of aggressive from indolent cancers. Key questions in determining individual probabilities of disease, given their history, findings on CT, and upcoming biomarkers of risk, remain most challenging. Reducing the false positives associated with current low-dose computed tomography practices and identification of individuals who need therapy and at what time during tumor surveillance could reduce costs and morbidities associated with unnecessary interventions. Cancer Prev Res; 7(12); 1173–8. ©2014 AACR.

Introduction

We are facing an epidemic of indeterminate pulmonary nodules (IPN), not only those found incidentally, but also through the proliferation of CT screening programs targeting high-risk individuals for lung cancer following the encouraging results of the National Lung Screening Trial (NLST; ref. 1) and the USPSTF recommendations (2, 3). Although the large majority of IPNs are benign, current predictive tools to discriminate benign from malignant nodules are suboptimal, leading to a large number of follow-up CTs, unnecessary invasive biopsies with attendant morbidity and rare mortality, anxiety, and wasted healthcare spending. Although the optimal approach to the management of patients with IPNs is evolving as technologies develop, key questions in determining individual probabilities of disease, given their history or findings on CT, remain most challenging. IPNs are those that have some risk of cancer. They are noncalcified, 7 to 20 mm in diameter, and with a risk of malignancy between 5% and 60%. The risk is less than for suspicious nodules (>60%) and greater than for nonsuspicious ones (<5%). There is still controversy around the definition of an IPN, and all clinicians know how challenging the evaluation of an IPN can be. IPNs fall under the broader umbrella covering noncalcified nodules (NCN) as discussed in the featured manuscript (4).

The question addressed in Pinsky and colleagues (4) is whether NCNs ≥4 mm in diameter carry a short-term (0–23 months) or long-term (60–84 months) risk for lung cancer. The featured manuscript determines that some NCNs may be cancer precursors based on the analysis of the CT screening data of the NLST. Although the majority of NCNs are not cancer precursors, NCNs are strongly associated with short-term cancer risk and weaker long-term risk (Fig. 1, courtesy of Dr. Pinsky). The presence of an NCN confers significantly elevated long-term lung cancer risk ratios (RR) of 1.8, 2.4, and 3.5 at the person, lung, and lobe levels; corresponding short-term RR were 10.3, 16.8, and 38.0, respectively. Ground glass opacity (GGOs) were associated with long-term lung cancer risk (HR = 3.1) but inversely associated with short-term risk (HR = 0.3). This clearly signals that some NCNs and in particular some GGOs represent cancer precursor lesions that eventually behave very differently than benign ones, depending on their biologic and anatomic features. The results support that as risk biomarkers, the NCN size, attenuation, margins, and persistence (and suspected volume doubling times, although not studied here) provide different odds for cancer. As potential surrogate endpoints to follow in chemoprevention trials, the implications are that NCNs presenting as GGOs, but not as solid densities, are associated with long-term risk, with an HR of 3.1. Although this is only demonstrated after 5 years of follow-up, which is longer than most feasible chemoprevention studies, much remains to be learned from the rate of change in texture, density, and size over time as tangible surrogate endpoint biomarker.

Indeterminate Pulmonary Nodules

Differentiating the minority of malignant from benign IPNs represents one of the most urgent clinical problems in early detection of lung cancer, particularly on the eve of...
with their management—up to $28 billion/year in the United States. Incorrect evaluation of IPNs causes risks that range from anxiety (6), to a high rate of unnecessary thoracotomies for benign nodules, to missed chances for cure during follow-up resulting in death. Chest CT is not capable of providing the improved diagnostic accuracy needed.

We define IPNs as noncalcified lung nodules, solid, part-solid, or ground-glass opacities, which, assuming a spherical nodule, have diameters ranging from 7 mm to 20 mm. IPNs with largest diameters above 7 mm decrease the false-positive rates to 7.2% versus 10.5% for 6 mm or 15.8% for 5 mm (7–10). IPNs may be solitary or multiple and are extremely common, with the reported prevalence between 8% and 69% depending on the clinical context (i.e., screening or prevalent disease, age, and endemic area for fungal disease; refs. 1, 11–15). Despite using a lower diameter of 4 mm for IPN in the early results of the NLST, a 20% relative reduction in lung cancer-specific mortality was found (1), though with 39.1% of the individuals having at least one positive result. The vast majority of IPNs were benign, with a false-positive (FP) rate in this high-risk cohort of 96% (1). The management of lung nodules follows the American College of Chest Physicians (ACCP; refs. 16, 17) and very similar National Comprehensive Cancer Network (NCCN) guidelines (18), which recommend that for nodules >8 mm in diameter, when the probability of lung cancer is <5%, a follow-up CT be done at 3 months. Should the probability be between 5% and 60%, the ACCP recommends a PET CT or tissue diagnosis. Should the probability be >60%, a tissue diagnosis is recommended at discovery (16). Despite attempts at bringing uniformity of IPN follow-up, controversy persists (19–23).

The discrimination of benign from malignant IPNs is based on the nodule density, size, shape, and changes in these characteristics over time, particularly in growth rate demonstrated by nodule volume doubling time (VDT; ref. 24). Volumetric analysis of nodules has improved significantly with the rates of change of the volumes (growth rates) being some of the best predictors of malignancy (23). Yet growth rate cannot provide the answer the patients want at the time of discovery because calculation of growth rate requires follow-up studies. Automated approaches for volumetric analysis are being aggressively pursued (25, 26) and are facing the challenges of nodules of low density, those abutting the pleura or the mediastinum, those in direct relationship with the vasculature, and, very importantly, the challenge of addressing methods to determine accurately and reproducibly the smallest measurable change. We expect very rapid and significant improvement in this field as we also preconize acquisition of thin sections CT for lung nodule evaluation (NCCN guidelines; refs. 27, 28; ACR guidelines). Accurate volumetric analysis is likely soon to be part of the arsenal of quantifiable features, image-based biomarkers to be used in clinical practice as molecular markers have successfully entered our clinical practice. Tumor volume estimation should lead to improving current guidelines of the management of IPNs.
Risk for Having or Risk for Developing Lung Cancer?

A separate discussion of short-term risk (risk of having lung cancer) and long-term risk (risk of developing lung cancer) is necessary as the variables predicting disease vary dramatically depending on the clinical setting. Associated with these risks are probabilities. At baseline, the size of an IPN on CT confers the most valuable estimate of malignancy, with nodule diameter related to likelihood of malignancy, respectively, of: <5 mm: 0%–1%; 5–10 mm: 6%–28%; 11–20 mm: 37%–64%; >20 mm: 64%–82% (16, 29). However, growth rate is most closely associated with malignancy (30). The proportions of IPNs falling into high, intermediate, or low risk for cancer vary with clinical setting, that is, screening, diagnostic, or preoperative, but the largest proportion (50%–76%) falls in the intermediate-risk group. Nodules in this group account for the largest number of invasive biopsies for benign disease. A critical goal is to improve the proper classification of these intermediate probability nodules, at discovery, into the low- or high-risk groups (Fig. 2). Diagnostic models have been proposed by Gurney and colleagues (31), Gould and colleagues (32), and Swensen and colleagues (33) but, as we have shown, only provide 69% accuracy in IPNs (34, 35). These models include age, smoking history, and size/location of the nodule and are improved by McWilliams and colleagues (36) but do not yet integrate automated quantitative imaging analysis, including assessment of volume growth rate, or molecular biomarkers.

Predicting the risk of developing lung cancer is a difficult task. As good as the models are currently, they are imperfect. The study from Pinsky and colleagues has the merit of demonstrating risk of cancer even at a long-term point, in this case 6.5 years. Bringing NCNs to the attention of risk modelers is essential as there are few other variables used thus far, including age, smoking history, asbestos exposure, and Chronic Obstructive Pulmonary Disease (COPD). In a population that is screened with low-dose helical CT scans, incorporation of nodule characteristics, particularly non-solid nodules, into the risk assessment further identifies individuals at greater risk (37). Not surprisingly, the field of diagnostics is improving much faster than our ability to predict cancer development.

Intermediate Endpoint Biomarkers in Chemoprevention Studies

Chemoprevention efforts are most compelling given the morbidity and the costs associated with managing patients with lung cancer. The goal is to prevent cancer or to treat the field effect or identifiable precancerous lesions in high-risk individuals. Safety and efficacy of chemopreventive strategies are difficult to establish over a short period of treatment (38, 39). Six months to a year typically does not have a major impact on cancer risk. Although phase III trials will assess clinical utility of these interventions, trials that test lung cancer as outcome are long and expensive. In contrast, phase II efficacy cancer prevention trials rely on intermediate endpoints that are predictive of patient outcomes, such as cancer incidence. Importantly, surrogate endpoint biomarkers would have tremendous impact for phase III trials. Yet, intermediate endpoint biomarkers in lung cancer chemoprevention trials have never been proven against hard outcomes such as cancer diagnosis (39). Ideally, these biomarkers are involved in tumorigenesis and modulated by the intervention. Because bronchial airway preinvasive lesions are more prevalent in the cancer population (40, 41), and because GGOs are also more prevalent among patients with known lung cancer (42), precursor lesions and in particular GGOs may represent a surrogate intermediate endpoint biomarker of risk. Some NCNs may fit this description (43). Using the large NLST dataset, Pinsky and colleagues (4) determined the short- and long-term lung cancer risk of noncalcified lung nodules. The study confirms previous findings. NCNs presenting as GGO on chest CT have a lower risk of developing into cancer; therefore, guidelines suggest that GGOs be monitored longer than solid nodules for progression to invasive lung cancer. Solid or part solid, and especially lobulated and/or spiculated nodules, however, have known greater risk for being lung cancer. Short-term follow-up CT can identify fast-growing intermediate-size lung nodules, and yet most fast-growing nodules on short-term follow-up CT still prove to be benign. Use of an optimized NCN diameter may decrease false-positive case referrals for lung cancer (8). Similarly, lowering the VDT threshold (VDT cutoff) from 400 days to 232 days lowers the false-positive referrals while maintaining sensitivity for lung cancer diagnosis (44). In the NLST dataset, there is no certain answer to whether given NCNs end up being lung cancers because there are only 2 years of imaging follow-up beyond baseline. All of the long-term cancers, by definition, occurred at least 5 years from baseline. Therefore, at the time of cancer diagnosis, we do not have images available to examine whether a NCN evolved into the cancer.

The fundamental assumption of chemopreventive strategies is that treatment of a premalignant lesion prevents the development of an invasive cancer. If this assumption is correct, and if some NCNs represent precursor lesions, a successful therapeutic intervention would prevent these lesions from developing into cancer. In fact, the majority of lung nodules do not represent precursor lesions, few of the premalignant lesions progress (40, 45–47), and it is unclear whether chemoprevention strategies do prevent lung cancer development or allow regression of preinvasive lesions. Moreover, most of these lesions are not biopsied so it remains quite challenging to work with the lack of proven, histologic diagnosis for these lesions. Should an intervention be tested against such NCN as surrogate endpoint, the results could be confounded by the fact that most nodules will regress (because most are inflammatory and contained by an appropriate immune response) with their response being true but unrelated to the effect of the chemopreventive strategy. The challenge is to identify with accuracy and noninvasively those precursor lesions that will not behave indolently, which at the moment we cannot reliably do. The
limitation of using CT scan-detected lung nodules as an intermediate end point is the lack of confirmation of the underlying pathology. Some strategies are promising however. Nodule growth, changes in CT attenuation (density), nodule enhancement with CT contrast agents, and circulating biomarkers may represent candidate surrogate biomarkers of an aggressive behavior.

Many chemoprevention endpoint biomarkers in lung cancer have been proposed, including changes in histologic grade, proliferation index (Ki-67 or PCNA), blood biomarkers, or urinary metabolites (PGEM; refs. 38, 39). None have been tested against an ultimate outcome, such as cancer development. Noninvasive surrogate biomarkers would be ideal as tissue requirements are usually quite limiting for feasibility reasons. Blood biomarkers have been very difficult to use, and none of them have been validated in chemoprevention studies. Molecular markers may allow us to select individuals at greater risk, but markers of response to chemoprevention strategies have been most difficult to establish (38, 39). Chemoprevention studies where the evaluation by nodule type was considered revealed a nonsignificant trend toward regression of non-solid and subsolid lesions after budesonide treatment (43, 48, 49). However, resolution of CT-detected nodules was not originally an endpoint in the study protocols, and, therefore, there were only a small number of subjects with nodules. Consequently, NCNs should be investigated further as potential intermediate endpoints for early-phase chemoprevention trials, akin to the use of colon polyps in colon cancer prevention studies.

A clear and immediately apparent concern is that screening chest CTs offered at the onset of chemoprevention studies will detect NCNs, most of which will be benign. A great proportion of the precursor lesions detected may in fact be precursors of rather indolent cancers and represent risk for overdiagnosis bias, thus defeating the purpose of any chemoprevention trial. Yet, imaging biomarkers predicting precursor lesion behavior would be invaluable in this regard. Because thin-slice (e.g., sub-2 mm) low-dose chest CTs are being deployed across the nation following the growing evidence that screening for lung cancer by low-dose chest CT saves lives, these studies will bring more individuals to these chemoprevention trials with high-quality imaging data available. In phase 0 trials, one could refine a biomarker assay using human tissue or imaging data. The follow-up of these GGOs over time, and their “degree of attenuation” (Hounsfield densities) and growth or regression, may have major implications as candidate surrogate endpoints. Unfortunately, volumetric analysis of the NLST nodules is not available, and this hypothesis could not be tested in the Pinsky manuscript.

Challenges: Precursor Lesions, Overdiagnosis

NCNs can be categorized as solid or subsolid nodules. The subsolid nodules are subcategorized as part-solid and non-solid (GGOs). Approximately 19% of IPNs are subsolid nodules (14, 36, 50), which include GGOs (~14%) and part-solid nodules (~5%). Because there is strong correlation between the size of the solid component on CT and the invasive component on pathology (51), features are expected to be readily analyzable by structural imaging. Although subsolid nodules are particularly difficult to segment with automated software, novel segmentations that both identify the solid component and encompass the semisolid component within a sphere of fixed diameter are showing promise (52). This approach allows for quantitative features describing size, shape, density, and texture to be extracted from solid nodules and density and texture features from semisolid components.

Overdiagnosis is a serious problem in screening detected lung cancers. Overdiagnosis may account for up to 18.5% of screening detected lung cancers (53). In the COSMOS trial, slow-growing or indolent cancer comprised approximately 25% of incident cases, many of which may have been overdiagnosed (54). We hope to eventually identify indolent cancers by careful surveillance and provide new management strategies, considering intervention when the nodule progresses. There is a great association between GGOs and atypical adenomatous hyperplasia (AAH). Yet, the rate of true AAH progressing to invasive malignancy is unclear. The reported incidence of AAH in resections of ground-glass opacities varies widely in the peer-reviewed literature from 6% to 58% (48). We must further study the natural history of preinvasive lesions. We should find new ways of studying GGOs and part-solid nodules that are better than cross-sectional CT studies followed by lengthy prospective cohorts, which are difficult, expensive, and do not provide the necessary tissue for detailed study. We must study the biology of GGOs and part-solid nodules in greater details, and go beyond their characterization by means of EGFR and KRAS mutation status. We need to improve our understanding of their metabolism and heterogeneity, as well as improve our imaging and molecular probes to distinguish those that are malignant from those that are not. Molecular markers predictive to tumor progression would be particularly important in selecting those patients in need for intervention.

Conclusions

Many areas of uncertainty remain and need further investigation of our understanding of IPNs, especially part-solid nodules and GGOs. To cite a few examples, regular exponential growth of a nodule cannot be assumed from GGOs as it is for solid nodules (55, 56). The slow growth and metastatic behavior of this understudied group of IPNs are poorly understood. Is there a role of COPD in presentation of nodules and/or their evolution to invasive cancers? How can we improve the quantitative, noninvasive assessment of these nodules by low-dose CT, specifically the accurate measurement of growth rate/VT and detection of early development of solid (invasive) components in previously purely nonsolid nodules? Addressing these challenges will help in the study of these IPNs as intermediate endpoint biomarkers in chemoprevention. The natural history of precursor lesions remains unclear and depends
on many transforming factors caused by repeated injury with smoking environment, possibly new infectious stresses, or others yet unidentified. Therefore, linking GGOs to precursor lesions suffers the lack of histologic evaluation and the lack of predictive ability for progression to cancer. These uncertainties can be addressed by phenotypic characterization of GGOs and distinguishing them from infectious etiologies, and at the molecular level where we hypothesize that some GGOs carry significant alterations predictive of an aggressive behavior. Whether CT scan-detected ground-glass opacities can serve as intermediate endpoints for lung cancer chemoprevention trials requires additional study.

Disclosure of Potential Conflicts of Interest
No potential conflicts of interest were disclosed by the authors.

References


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