

The Impact of One-week Dietary Supplementation with Kava on Biomarkers of Tobacco Use and Nitrosamine-based Carcinogenesis Risk among Active Smokers



Yi Wang¹, Sreekanth C. Narayanapillai¹, Katelyn M. Tessier², Lori G. Strayer², Pramod Upadhyaya², Qi Hu¹, Rick Kingston³, Ramzi G. Salloum⁴, Junxuan Lu⁵, Stephen S. Hecht², Dorothy K. Hatsukami^{2,6}, Naomi Fujioka^{2,7}, and Chengguo Xing¹

ABSTRACT

Tobacco smoking is the primary risk factor for lung cancer, driven by the addictive nature of nicotine and the indisputable carcinogenicity of 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK) as well as other compounds. The integration of lung cancer chemoprevention with smoking cessation is one potential approach to reduce this risk and mitigate lung cancer mortality. Experimental data from our group suggest that kava, commonly consumed in the South Pacific Islands as a beverage to promote relaxation, may reduce lung cancer risk by enhancing NNK detoxification and reducing NNK-derived DNA damage. Building upon these observations, we conducted a pilot clinical trial to evaluate the effects of a 7-day course of kava on NNK metabolism in active smokers. The primary objective was to compare urinary total 4-(methylnitrosamino)-1-(3-

pyridyl)-1-butanol (NNAL plus its glucuronides, major metabolites of NNK) before and after kava administration as an indicator of NNK detoxification. Secondary objectives included determining kava's safety, its effects on DNA damage, tobacco use, and cortisol (a biomarker of stress). Kava increased urinary excretion of total NNAL and reduced urinary 3-methyladenine in participants, suggestive of its ability to reduce the carcinogenicity of NNK. Kava also reduced urinary total nicotine equivalents, indicative of its potential to facilitate tobacco cessation. Plasma cortisol and urinary total cortisol equivalents were reduced upon kava use, which may contribute to reductions in tobacco use. These results demonstrate the potential of kava intake to reduce lung cancer risk among smokers.

Introduction

In spite of recent advances in immunotherapy, lung cancer remains the leading cause of cancer-related deaths, with an estimated 140,000 deaths and over 200,000 new cases annually in the United States (1). Cigarette smoking is the major risk

factor and tobacco cessation is the only strategy to date proven to mitigate lung cancer risk. The success rate of tobacco cessation with currently available therapies, however, remains low (2). Novel approaches, such as the integration of lung cancer prevention in conjunction with tobacco cessation, are urgently needed.

Tobacco smoke contains various carcinogens and cocarcinogens, collectively contributing to the increased lung cancer risk. 4-(Methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK) is one of the best characterized tobacco-specific lung carcinogens, demonstrating potent pulmonary carcinogenicity in multiple species (3). Ample evidence support NNK as a key causative factor of human lung adenocarcinoma development (4–7). For instance, by analyzing the prospective samples from the Prostate, Lung, Colorectal, and Ovarian Cancer (PLCO) Screening Trial, Church and colleagues demonstrated that plasma 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanol (NNAL, a major metabolite of NNK) was significantly associated with increased lung cancer risk, even after correcting for age and smoking duration (7). Polymorphisms of genes involved in NNK metabolism (both bioactivation and detoxification) are also associated with differential lung cancer risk (8). Prospective cohort studies found that urinary NNAL

¹Department of Medicinal Chemistry, College of Pharmacy, University of Florida, Gainesville, Florida. ²Masonic Cancer Center, University of Minnesota, Minneapolis, Minnesota. ³College of Pharmacy, University of Minnesota, Minneapolis, Minnesota. ⁴Department of Health Outcome & Biomedical Informatics, College of Medicine, University of Florida, Gainesville, Florida. ⁵Department of Pharmacology and Cancer Institute, Penn State, Hershey, Pennsylvania. ⁶Department of Psychiatry, University of Minnesota, Minneapolis, Minnesota. ⁷Division of Hematology, Oncology and Transplantation, Department of Medicine, University of Minnesota, Minneapolis, Minnesota.

Note: Supplementary data for this article are available at Cancer Prevention Research Online (<http://cancerprevres.aacrjournals.org/>).

Corresponding Authors: Chengguo Xing, University of Florida, 1345 Center Drive, Gainesville, FL 32610. Phone: 352-294-8511; Fax: 352-273-9724; E-mail: chengguoxing@cop.ufl.edu; and Naomi Fujioka, fujio002@umn.edu

Cancer Prev Res 2020;13:483–92

doi: 10.1158/1940-6207.CAPR-19-0501

©2020 American Association for Cancer Research.

Wang et al.

was positively associated with lung cancer risk in smokers (9, 10), after correcting for cigarettes smoked per day and years of smoking, directly linking NNK exposure to lung cancer development.

The mechanism of NNK carcinogenesis has been well characterized (Fig. 1). Activation of NNK generates two types of reactive species, leading to DNA damage (3). NNK can be reduced to NNAL, the major metabolite in human smokers (11). NNAL is glucuronidated, leading to its detoxification via excretion as NNAL-Gluc (12), which would reduce the carcinogenic risk of NNK (13). At the same time, NNAL can be bioactivated to generate two types of reactive species to form DNA damage. DNA damage is widely accepted as the root cause of NNK-induced carcinogenesis through mutagenesis (3). Some modified DNAs (DNA adducts), however, are not stable and are excreted in urine, which could serve as noninvasive surrogates to estimate the level of DNA damage in tissues. Among various urinary DNA adducts, we and others demonstrated that human urinary 3-methyladenine (3-mA) appeared to be highly tobacco dependent (14–16) and may be indicative of NNK bioactivation (15).

Kava is traditionally consumed by the South Pacific Islanders to promote natural calmness (17, 18). It is also marketed as a dietary supplement in the United States to help reduce stress. A group of kava-specific lactones (kavalactones, particularly kavain and dihydrokavain; Supplementary Fig. S1) have been proposed to be responsible for this pharmacodynamic effect (19, 20). Epidemiologic observations (21, 22) and pre-clinical animal studies (23–25) indicate that kava consumption may reduce cancer risk, particularly lung cancer (23, 26–28). We recently demonstrated that kava completely blocked NNK-induced lung tumorigenesis in A/J mice (23); dihydromethysticin (DHM; Supplementary Fig. S1) was identified as the active ingredient with an efficacious dose in A/J mice (28) comparable to doses used in humans as dietary supplements (29). Mechanistically, kava and DHM reduced NNK/NNAL-induced DNA damage in A/J mouse lung tissues (23, 28), potentially via enhancing NNAL urinary detoxification (26).

Such mechanistic insight greatly improves the feasibility of kava clinical evaluation because noninvasive NNK metabolites can serve as surrogates of kava's potential benefits. We herein report a pilot clinical trial in healthy smokers to test the hypothesis that short-term kava administration will result in increased levels of urinary NNAL, reflective of enhanced NNK detoxification. The primary endpoint was a comparison of urinary NNAL before and after a 7-day course of kava. Secondary endpoints included determining kava's safety, effect on tobacco use and dependence, and effect on stress.

Materials and Methods

Chemicals and reagents

Twenty bottles of kava capsules from the same manufacturing lot were obtained directly from Gaia Herbs. Each capsule was labeled to contain no less than 75 mg kavalactones.

Deuterium-labeled dihydromethysticin (^2H -DHM) was synthesized and characterized by both NMR and mass spectrometry (30). [$^2\text{H}_4$]-nicotine, [$^2\text{H}_3$]-cotinine, and [$^2\text{H}_3$]-3-OH-cotinine were purchased from Sigma-Aldrich. [$^{13}\text{C}_6$]-NNAL, [$^2\text{H}_3$]-3-mA, [$^2\text{H}_3$]-nicotine-*N*-oxide, [$^2\text{H}_4$]-cortisol, [$^2\text{H}_4$]-6 β -hydroxycortisol, [$^2\text{H}_6$]-allo-3 α -tetrahydrocortisol, [$^2\text{H}_8$]-cortisone, and [$^2\text{H}_6$]-tetrahydrocortisone were purchased from Toronto Research Chemicals. Optima LC/MS grade H_2O , HCO_2H , CH_3OH , and CH_3CN were purchased from Thermo Fisher Scientific. Strata-X cartridges (33 μm , 30 mg/L) were purchased from Phenomenex. Oasis MCX cartridges (30 mg) were purchased from Waters. β -Glucuronidase and other chemicals were purchased from Sigma-Aldrich, unless stated otherwise.

Profiling five major kavalactones

Our method to quantify five major kavalactones (kavain, dihydrokavain, methysticin, DHM, and desmethoxyyangonin) in kava products was published elsewhere (30). Briefly, the dark brown viscous oil in three randomly selected capsules were weighed and dissolved in a solution of DMSO: CH_3OH (1:1) to 20 mg/mL. The solution was diluted to a final concentration of 20 pg/ μL with 10% CH_3OH in H_2O . [$^2\text{H}_2$]-DHM was added as the internal standard at a final concentration of 1.0 pg/ μL . The samples (10 μL) were analyzed by ultraperformance liquid chromatography tandem mass spectrometry (UPLC/MS-MS). The amount of these five kavalactones was estimated to be 77 mg/capsule (30). The same method was also used to quantify DHM in the urine samples from the trial participants to assess kava exposure.

Clinical trial

Twenty-one participants completed the clinical trial (Clinicaltrials.gov NCT02500472), conducted at the University of Minnesota. The study design is shown in Fig. 2. Eligible participants were adults (≥ 18 years of age) and current smokers (≥ 5 cigarettes per day by self-report). All participants had normal blood counts, electrolytes, and liver function. Those with known hepatobiliary disease or impairment, conditions that might affect kava absorption such as gastric bypass or celiac sprue, major or chronic medical disease, chronic use of medications that could not safely be stopped during the study period, antibiotic use within two months of study enrollment, alcohol dependence, and pregnant/breastfeeding women were excluded. The study was approved by the University of Minnesota Institutional Review Board, and was conducted in accordance with recognized ethical guidelines. All participants provided informed, written consent. Demographic information, tobacco use history, medical history, and medication use history were obtained. All participants underwent a screening physical exam by a study physician. Height and weight were measured. Blood for safety or biomarker evaluation were collected at screening, baseline, and on days 4 and 7 of kava intervention. Comprehensive metabolic panel (CMP) and complete blood counts (CBC) were performed at Fairview

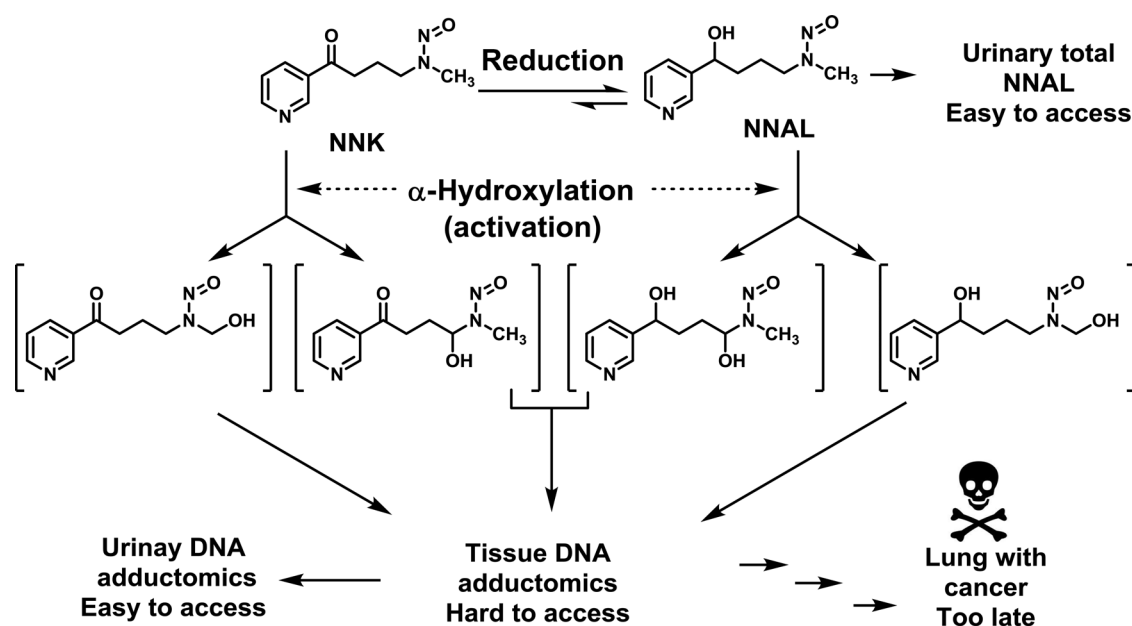


Figure 1.

NNK metabolism, resulting in tissue DNA adductomics, urinary DNA adductomics, urinary NNAL excretion, and eventually lung cancer formation.

Diagnostic Laboratories, a CLIA-certified clinical lab. Buffy coat, red blood cell, and plasma were aliquoted and stored at -80°C . Twenty-four-hour urine collections were obtained at baseline, and on days 1 to 2, 4 to 5 and 6 to 7 of kava intervention for urinary NNAL and other urinary biomarker analysis, broken down into 0–6- and 6–24-hour time periods. Volume was recorded to the nearest millimeter. Urine was aliquoted and stored at $\leq -20^{\circ}\text{C}$. Participants were instructed to continue their usual smoking habits, record dietary intake, and keep a smoking diary throughout the kava intervention. In addition, participants completed the modified Cigarette Evaluation Questionnaire (mCEQ), a validated tool that assesses the degree to which a smoker experiences the reinforcing effects

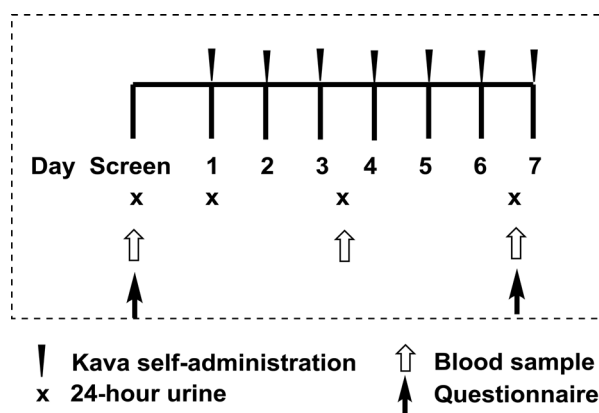


Figure 2.

Trial design of pre- and post-1-week kava dietary supplementation among smokers.

of smoking (31), at baseline and on day 7 of the kava intervention.

The kava manufactured by Gaia Herb was chosen because it had the same preparation as the kava product used in our preclinical mouse studies (23, 28). On the basis of the surface area normalization method, the dose of three capsules per day recommended by the manufacturer (standardized to 225 mg kavalactones per 3 capsules) is comparable to the dose utilized in the mouse studies. Kava capsules were stored at room temperature and dispensed by Fairview Drug Service Pharmacy, the institution's research pharmacy. Participants were asked to take one capsule of kava three times daily at $8:00 \pm 2$ hours, $14:00 \pm 2$ hours, and $20:00 \pm 2$ hours for 7 consecutive days. Fasting except water was required at least 1 hour before and 1 hour after each dose. A dosing diary was maintained by the participant. Compliance was measured by pill counts at study visits, review of the dosing diary, and by measuring urinary DHM. Missed doses were not made up. Participants were asked to abstain from cruciferous vegetables (which contain phytochemicals that may alter NNK metabolism), alcohol, medications (with special attention paid to acetaminophen), supplements and herbs. Topical and inhaled medications were permitted. Adverse events (AE) were assessed at baseline, on day 4 ± 1 day, and on day 7 ± 1 day of kava intervention, and graded according to the NCI's Common Terminology Criteria for Adverse Events (CTCAE) v4.0.

Measurement of urinary total nicotine equivalents

Urinary total nicotine equivalent (TNE), the sum of nicotine, cotinine, 3'-hydroxycotinine, their glucuronides and nicotine-N-oxide, was determined following a previously established method (32). Briefly, urine sample (2 μL) was diluted with

Wang et al.

100 mmol/L ammonium acetate pH 5 (998 μ L). Diluted urine (10 μ L), 100 mmol/L ammonium acetate pH 5 (200 μ L), and internal standards were treated with β -glucuronidase (300 units) at 37°C for 15 hours. The SPE column was washed with 0.5% HCO₂H (2 mL) and CH₃OH (1 mL). The compounds were eluted with 2% NH₄OH/CH₃OH (1 mL), acidified with HCO₂H (25 μ L) and speed vacuum to dryness. Samples were resuspended with 100 mmol/L ammonium acetate in 85% CH₃OH (50 μ L), and 20 μ L were injected for UPLC/MS-MS analysis.

Measurement of urinary 3-mA

Urinary 3-mA was quantified as previously described with slight modifications (15). Briefly, urine was diluted 10 \times with phosphate buffer (100 mmol/L, pH 6.8). The diluted urine sample (20 μ L) was mixed with [²H₃]-3-mA (100 pg), 0.5% HCO₂H (500 μ L), and applied to a MCX cartridge, preconditioned with CH₃OH (1 mL) and H₂O (1 mL). The samples were washed with 0.5% HCO₂H (2 mL), CH₃OH (0.5 mL), and eluted with 1% ammonium hydroxide in CH₃OH (1 mL). The eluents were dried under vacuum and dissolved in 10% CH₃OH (30 μ L) for UPLC/MS-MS analysis.

Measurement of urinary TCE and plasma cortisol

Urinary TCE was measured as described previously (33). Plasma cortisol was quantified following the same procedures with slight modifications. Briefly, human plasma (10 μ L) was mixed with H₂O (100 μ L), CH₂Cl₂ (800 μ L), and [²H₄]-cortisol (2 pg/ μ L plasma). The CH₂Cl₂ fraction was dried under vacuum and the residue was dissolved in 10% CH₃OH (1 mL), applied to a StrataX cartridge, preconditioned with CH₃OH (1 mL) followed by H₂O (2 mL). After washing with 10% CH₃OH (1 mL) and H₂O (4 mL), the analytes were eluted with CH₃OH (1 mL), dried under vacuum and resuspended in 10% CH₃OH (50 μ L) for UPLC/MS-MS analysis.

Measurement of urinary total NNAL

Urinary total NNAL was quantified following a published procedure (12). Briefly, urine samples (250 μ L) with 2 pmols of ¹³C₆-NNAL were incubated with 3,000 units of β -glucuronidase enzyme (in 50 μ L PBS) overnight at 37°C. After incubation, the samples were subjected to primary and secondary extraction using Isolute SLE+ column (Biotage; Part #820-0140-C) and MCX 1cc (30 mg) Extraction Cartridge (Waters; Part #186000252). The eluted samples were dried under speed-vac and dissolved in 10 mmol/L ammonium acetate (30 μ L) and analyzed by UPLC/MS-MS.

UPLC/MS-MS analyses

All samples were assayed by targeted UPLC/MS-MS analysis on a Dionex Ultimate 3000 RS and a Q Exactive Hybrid Quadrupole Orbitrap Mass Spectrometer using parallel reaction monitoring (PRM). For TNE analysis, samples were resolved through an Atlantis HILIC column (150 \times 2.1 mm, 3 μ m) with a 20 minutes linear gradient from 99% A solvent (CH₃CN with 0.05% HCO₂H) to 95% B solvents (H₂O with 5%

CH₃CN and 0.05% HCO₂H) at a flow rate of 250 μ L/min. For 3-mA, the samples were resolved through a Thermo Fisher Scientific Acclaim C18 column (150 \times 2.1 mm, 3 μ m particle size, 100 Å) with a 25-minute linear gradient from 99% A (2 mmol/L ammonium acetate in 10% CH₃CN) to 80% B (2 mmol/L ammonium acetate in CH₃CN with 10% H₂O) at a flow rate of 150 μ L/min. For cortisol and its metabolites, samples were resolved through an Atlantis dc18 column (150 \times 2.1 mm, 3 μ m particle size, 100 Å) with a 25-minute linear gradient from 99% A (H₂O with 1% CH₃CN and 0.01% HCO₂H) to 99% B (CH₃CN with 5% H₂O and 0.01% HCO₂H) at a flow rate of 150 μ L/min. For urinary NNAL, the separation and analysis followed previously published procedures (26).

Measurement of urinary creatinine

Urinary creatinine was measured using a modified Jaffe reaction method (34) by three lab members independently and the mean values were used. Briefly, 1% picric acid (50 μ L), 0.75 N potassium hydroxide (50 μ L), and diluted urine sample (75 μ L) were incubated for 5 minutes at room temperature and then transferred to a 96-well plate for absorbance measurement. Absorbance was recorded at 520 nm using spectrophotometer.

Method validation

Calibration curves, limit of detection (LOD) and limit of quantification (LOQ) of kavalactones, 3-mA, cortisol, and cortisol metabolites have been established as described previously (15, 30, 33). Performance of these methods were validated by specificity, precision, accuracy, within-day, and between-day reproducibility using the pure standards added into the blank matrix at three to four different concentrations on three different days. The methods for urinary TNE and total NNAL quantification were well-established (15, 35).

Data analyses

Demographic information was summarized using frequency and percentage. The mCEQ was summarized using mean and SD before and after kava intervention and compared using a two-tailed paired *t* test for means. The levels of urinary TNE, NNAL, TCE, and 3-mA were normalized by creatinine. The level of total NNAL was also normalized by urinary TNE. The primary endpoint, total urinary NNAL, and other biomarkers of interest were summarized using geometric mean, minimum and maximum due to the skewedness of the data. To investigate the change in these biomarkers before and after kava, a two-sided paired *t* test was performed on the log-transformed values. A ratio of geometric means and 95% CI were presented. Measures of liver function were summarized using mean and SD at screening, 4 days after kava and 7 days after kava. To investigate if liver function changed over time, a mixed-effects ANOVA was performed. A significance level of 0.1 was used because of the pilot nature of this clinical trial. Statistical analyses of biomarkers were performed using R

(version 3.6.0), GraphPad Prism (version 7.00), and for mCEQ, Excel (version 2016).

Results

Kava compliance, exposure, and safety

The characteristics of the 21 eligible participants who completed the study are summarized in **Table 1**. Compliance was excellent; one subject missed the 8 pm dose on Day 2, another subject missed the 8 pm dose on Day 6 and one dose on Day 7, and two additional subjects missed one dose each on Day 5, bringing the compliance rate to 92%. DHM, a kava-specific lactone, was not detected in any of the baseline urine samples before kava and was detected in all urine samples after kava initiation (Supplementary Fig. S2). Overall, kava demonstrated excellent safety. Kava had no impact on liver function (Supplementary Fig. S3; data for each participant in the Supplementary Data; Supplementary Tables S1–S4). Kava did not affect other parameters in CMPs and CBCs as well. Seven of the 21 participants experienced an AE (Supplementary Table S5) that was at least possibly attributable to the kava. All were grade 1 and none of these AEs observed occurred in the same participant. These results support (i) the safety and tolerability of short-term kava intervention; (ii) the potential use of urinary DHM as a measure of kava exposure; and (iii) feasibility of high compliance.

Effect of kava on urinary TNE, mCEQ, plasma cortisol, and urinary TCE

To quantitatively and objectively evaluate the impact of kava on the biomarkers of NNK-mediated carcinogenesis risk, the potential effect of kava on tobacco use needs to be characterized. We therefore quantified urinary TNE before and on day 7 of kava ingestion. Nineteen of 21 participants had reductions in 0 to 6 hours urinary TNE (**Fig. 3A**; ratio of geometric means = 0.68; 95% CI, 0.57–0.80; $P < 0.001$; Supplementary Table S6). The reduction on nicotine, cotinine, 3-hydroxycotinine, and nicotine-N-oxide (the four components for TNE) by kava was similar (Supplementary Information; Supplementary Fig. S4), suggesting that the reduction of tobacco use by kava is not likely mediated via inhibiting nicotine metabolism. Similar levels of TNE reductions were observed in the 6 to 24 hours urine samples (Supplementary Information; Supplementary Fig. S5).

Table 1. Information of enrolled participants ($N = 21$).

Sex	Male	14
	Female	7
Age (24–71 years)	18–30	6
	31–40	4
	41–50	3
	51–60	4
	>60	4
Race	Caucasian American	13
	African American	7
	Native American	1

There were, however, no changes in self-reported cigarettes per day (CPD) among the participants (**Table 2**), which is intriguing. The effect of kava on tobacco dependence was assessed using the mCEQ (31). As shown in **Table 2**, kava significantly reduced mCEQ scores for smoking satisfaction and enjoyment of respiratory tract sensation although the extent of reductions was small. Kava use did not have significant effects on psychological rewards, craving reduction or aversion. It should be noted that the participants enrolled in this pilot trial did not intend to quit smoking while mCEQ was designed for participants active in tobacco cessation.

To explore the potential mechanisms of kava on tobacco use reduction, we evaluated the impact of kava on plasma cortisol given kava's relaxing property. Most blood samples were collected between 11 am and 2 pm, reducing circadian influence on plasma cortisol levels. As shown in **Fig. 3B**, 15 of 21 participants had reduction in plasma cortisol after kava use (ratio of geometric means = 0.66; 95% CI, 0.51–0.86; $P = 0.004$). We also quantified the sum of the major metabolites of cortisol in the urine samples (termed total cortisol equivalent, TCE) following our recently reported methods (33). Kava use resulted in a 59.0% reduction in urinary TCE in the 0 to 6 hours samples (**Fig. 3C**; ratio of geometric means = 0.41; 95% CI, 0.26–0.65; $P < 0.001$); 18 of the 21 participants showed reductions). Several studies report that smokers appear to have higher levels of cortisol in comparison to nonsmokers (33, 36). The elevated cortisol level among smokers, potentially reflecting the levels of mental stress, may contribute to tobacco addiction.

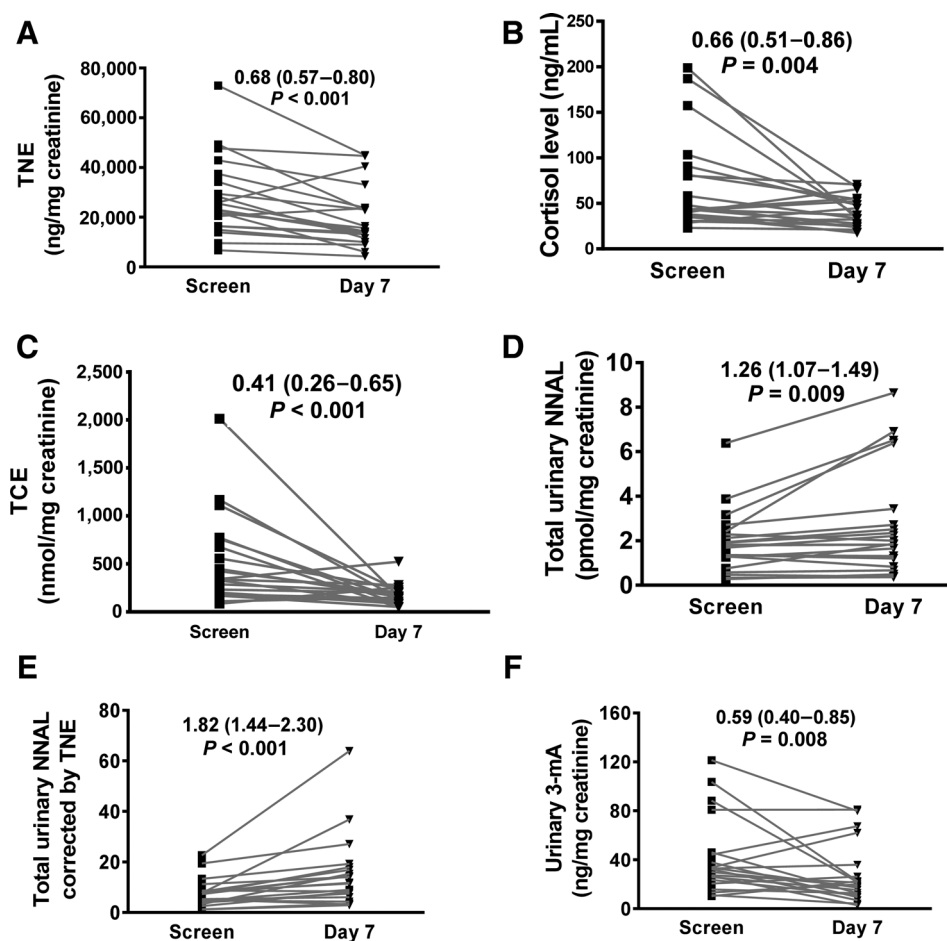
Effect of kava on urinary NNAL and 3-mA

Kava exposure significantly increased the amount of urinary NNAL (**Fig. 3D**; ratio of geometric means = 1.26; 95% CI, 1.07–1.49; $P = 0.009$; Supplementary Table S7); an increase was seen in 15 of the 21 participants. Given the reduction in urinary TNE upon kava use, an indication of potential decrease of tobacco use, urinary NNAL was also analyzed upon urinary TNE correction. The amount of urinary TNE-corrected NNAL nearly doubled (**Fig. 3E**; ratio of geometric means = 1.82; 95% CI, 1.44–2.30; $P < 0.001$). It should be noted, however, that nicotine and NNK/NNAL have different pharmacokinetics in humans. The differences in their half-lives may also contribute to the changes in TNE-corrected total NNAL. Consistent with the increase in urinary total NNAL, kava use resulted in a significant reduction in urinary 3-mA (**Fig. 3F**; ratio of geometric means = 0.59; 95% CI, 0.40–0.85; $P = 0.008$); a reduction was seen in 15 of the 21 participants.

Discussion

Our clinical trial is the first to demonstrate an increase in urinary total NNAL among smokers upon kava use, suggesting enhanced NNK/NNAL clearance. This is consistent with kava's effect in enhancing NNAL detoxification in the NNK-induced lung tumorigenesis A/J mouse model (26). The reduction in

Wang et al.

**Figure 3.**

Impact of 1-week kava on urinary TNE (A), plasma cortisol (B), urinary TCE (C), urinary total NNAL (D), urinary TNE-corrected total NNAL (E), and urinary 3-mA (F). Two-tailed paired *t* test was performed on the log-transformed data for each measure. A ratio of geometric means and 95% CI are presented.

urinary 3-mA also supports kava's potential to reduce NNK-mediated carcinogenesis risk among smokers, although 3-mA may be formed from other potential sources such as unknown methylating agents in tobacco smoke. We unexpectedly observed that kava might be useful to reduce tobacco use. Although only mCEQ was implemented in this trial, it has been validated to assess the degree to which subjects experience the reinforcing effects of smoking (31) and 1 week kava intervention resulted in significant reductions in mCEQ smoking satisfaction and enjoyment of respiratory track sensation. The reduction in urinary TNE, an objective and quantitative surrogate of tobacco exposure, provides additional evidence that kava might lead to reduced tobacco use. This is particularly promising since the participants were asked not to change their smoking

habits during the study intervention. At the same time, there were no changes in self-reported CPD. It is possible that kava use reduced the depth of smoking inhalation among smokers, which may account for this discrepancy. This potential benefit remains to be rigorously evaluated in a placebo-controlled, blinded, randomized trial. Mechanistically, kava use resulted in reduction in nicotine, cotinine, 3-hydroxycotinine, and nicotine-N-oxide, suggesting that the effect is unlikely mediated via inhibiting nicotine metabolism. Tobacco use reduction may be mediated by kava's antistress activity, reflected by the significant reductions in plasma cortisol and urinary TCE, respectively. As expected, the cortisol levels (plasma and urine) at baseline were heterogeneous among the smokers, suggesting that they may have different levels of physiologic stress. Interestingly,

Table 2. The impact of dietary kava on smoking behaviors based on mCEQ and CPD.

Factor	Smoking satisfaction	Psychologic reward	Enjoy sensation	Craving reduction	Aversion	CPD
Baseline mean (SD)	4.98 (1.42)	4.10 (1.22)	4.24 (1.73)	4.95 (1.66)	1.52 (0.83)	16.3 (10.5)
7 Day mean (SD)	4.51 (1.35)	3.77 (1.19)	3.71 (1.55)	4.95 (1.36)	1.40 (0.66)	16.2 (10.9)
Paired <i>t</i> test <i>P</i> (two-tailed)	0.031	0.222	0.053	1.00	0.489	0.87

Kava-induced Reduction in Lung Cancer Risk and Tobacco Use

cortisol reduction was more pronounced in smokers with higher baseline cortisol levels. Furthermore, their cortisol levels were reduced to levels comparable to those in smokers with lower baseline cortisol levels. These dual benefits are consistent with kava's relaxing properties (17, 18), the epidemiological inverse relationship between cancer incidence and kava use (21, 22), and the extensive animal data supporting kava's anxiolytic activity (37) and cancer chemopreventive properties (23–25).

Our trial also demonstrated the feasibility of achieving high compliance of short-term kava use among smokers with an absence of significant safety concerns. These are consistent with the long-standing history of traditional use of kava. Nonetheless, the clinical use of kava as an anxiolytic agent in other international venues was suspended between 2002 and 2014 due to concerns about potential hepatotoxicity (38). Subsequent safety evaluations by the WHO and others concluded that the hepatotoxic risk with kava was very low, particularly with proper preparations (17, 39–41). Restrictions on kava use in Europe have since been lifted (38). We and others found that flavokavains A and B (Supplementary Fig. S1), two lipophilic chemicals with higher abundance in low-quality kava cultivars (42), may account for kava's hepatotoxic risk (43, 44). Future rigorous clinical evaluation of kava preparations with well-characterized chemical composition and potentially low (or no) levels of flavokavains A and B, which better mimics its traditional preparation, is necessary.

Our study has several limitations. First, the trial did not have a placebo control group. Second, our sample size was large enough to meet our primary endpoint, but too small to make definitive conclusions. However, this pilot study is a mandatory step to further evaluation of the promising effects we observed in a larger randomized trial. Third, the kava intervention was short. Because tobacco cessation programs typically take weeks to months to achieve complete abstinence, longer trials with longitudinal follow-ups are needed to characterize kava's potential for sustainable reduction and ideally, complete cessation of tobacco use. Such trials will also provide safety data on long-term kava use. In addition, regardless of how beneficial an agent may be, three times daily dosing of any chemopreventive agent or intervention is not realistic. Although compliance was high in our study, real-world compliance will be an issue; hence, a simpler dosing schema needs to be developed. Finally, the mechanisms behind kava's potential dual benefits are not fully elucidated. Although kava has been well documented to be anxiolytic, there is no consensus on its active ingredients or its mechanism of action (45–50). Similarly, the mechanism by which kava enhances detoxification of NNK, resulting in higher levels of urinary total NNAL, is not fully elucidated and the upstream target remains to be determined. Such knowledge is critical to support the development of a kava product(s) with an optimal composition. Such knowledge also has the potential to help identify which smokers are

more likely to benefit from kava use. It is possible that the anxiolytic compound(s) and the NNK detoxifying compound(s) are different. Kava, comprised of a natural blend of kavalactones, may be better than any of its individual components.

Overall our pilot study supports the hypotheses that kava may lead to increased NNK detoxification, mitigating some of the deleterious effects of tobacco smoking, and reduced satisfaction from smoking. The data generated by this pilot trial support pursuit of further preclinical and clinical studies to confirm kava's benefits, identify the active ingredients, and elucidate its mechanism of action, thus informing the optimal use of kava that will benefit populations at high risk of tobacco-related cancer.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

Authors' Contributions

Conception and design: C. Xing, S.C. Narayanapillai, P. Upadhyaya, R. Kingston, J. Lu, S.S. Hecht, N. Fujioka

Development of methodology: Y. Wang, P. Upadhyaya, D.K. Hatsukami, N. Fujioka

Acquisition of data (provided animals, acquired and managed patients, provided facilities, etc.): C. Xing, Y. Wang, S.C. Narayanapillai, Q. Hu, N. Fujioka

Analysis and interpretation of data (e.g., statistical analysis, biostatistics, computational analysis): C. Xing, Y. Wang, S.C. Narayanapillai, K.M. Tessier, L.G. Strayer, P. Upadhyaya, J. Lu, D.K. Hatsukami, N. Fujioka

Writing, review, and/or revision of the manuscript: C. Xing, Y. Wang, K.M. Tessier, L.G. Strayer, P. Upadhyaya, R. Kingston, R.G. Salloum, J. Lu, S.S. Hecht, D.K. Hatsukami, N. Fujioka

Administrative, technical, or material support (i.e., reporting or organizing data, constructing databases): C. Xing, S.C. Narayanapillai, N. Fujioka

Study supervision: C. Xing, N. Fujioka

Acknowledgments

The research reported in this publication was supported by NIH R01CA193278 (to C. Xing), University of Minnesota Masonic Cancer pilot program (to N. Fujioka and C. Xing), College of Pharmacy Frank Duckworth Endowment (to C. Xing), University of Florida Health Cancer Center Startup fund (to C. Xing), P30 CA77598 utilizing the Biostatistics and Bioinformatics Core shared resource of the Masonic Cancer Center, University of Minnesota, the National Center for Advancing Translational Sciences of the National Institutes of Health Award Number UL1TR002494. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health. We would like to thank Jordan Paladino and Vickie Nguyen for helping sample preparation.

The costs of publication of this article were defrayed in part by the payment of page charges. This article must therefore be hereby marked *advertisement* in accordance with 18 U.S.C. Section 1734 solely to indicate this fact.

Received November 4, 2019; revised January 16, 2020; accepted February 20, 2020; published first February 26, 2020.

References

- Siegel RL, Miller KD, Jemal A. Cancer statistics, 2019. *CA Cancer J Clin* 2019;69:7–34.
- Robles GI, Singh-Franco D, Ghin HL. A review of the efficacy of smoking-cessation pharmacotherapies in nonwhite populations. *Clin Ther* 2008;30:800–12.
- Hecht SS. Biochemistry, biology, and carcinogenicity of tobacco-specific N-nitrosamines. *Chem Res Toxicol* 1998;11:559–603.
- Burns DM, Anderson CM, Gray N. Do changes in cigarette design influence the rise in adenocarcinoma of the lung? *Cancer Causes Control* 2011;22:13–22.
- Hoffmann D, Rivenson A, Hecht SS. The biological significance of tobacco-specific N-nitrosamines: smoking and adenocarcinoma of the lung. *Crit Rev Toxicol* 1996;26:199–211.
- Thun MJ, Lopez AD, Hartge P. Smoking-related mortality in the United States. *N Engl J Med* 2013;368:1753–4.
- Church TR, Anderson KE, Caporaso NE, Geisser MS, Le CT, Zhang Y, et al. A prospectively measured serum biomarker for a tobacco-specific carcinogen and lung cancer in smokers. *Cancer Epidemiol Biomarkers Prev* 2009;18:260–6.
- Murphy SE, Park SL, Balbo S, Haiman CA, Hatsukami DK, Patel Y, et al. Tobacco biomarkers and genetic/epigenetic analysis to investigate ethnic/racial differences in lung cancer risk among smokers. *NPJ Precis Oncol* 2018;2:17.
- Yuan JM, Koh WP, Murphy SE, Fan Y, Wang R, Carmella SG, et al. Urinary levels of tobacco-specific nitrosamine metabolites in relation to lung cancer development in two prospective cohorts of cigarette smokers. *Cancer Res* 2009;69:2990–5.
- Yuan JM, Gao YT, Murphy SE, Carmella SG, Wang R, Zhong Y, et al. Urinary levels of cigarette smoke constituent metabolites are prospectively associated with lung cancer development in smokers. *Cancer Res* 2011;71:6749–57.
- Carmella SG, Akerkar S, Hecht SS. Metabolites of the tobacco-specific nitrosamine 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone in smokers' urine. *Cancer Res* 1993;53:721–4.
- Carmella SG, Le Ka KA, Upadhyaya P, Hecht SS. Analysis of N- and O-glucuronides of 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNAL) in human urine. *Chem Res Toxicol* 2002;15:545–50.
- Hecht SS, Chung FL, Richie JP Jr, Akerkar SA, Borukhova A, Skowronski L, et al. Effects of watercress consumption on metabolism of a tobacco-specific lung carcinogen in smokers. *Cancer Epidemiol Biomarkers Prev* 1995;4:877–84.
- Hu K, Zhao G, Liu J, Jia L, Xie F, Zhang S, et al. Simultaneous quantification of three alkylatedpurine adducts in human urine using sulfonic acid poly(glycidyl methacrylate-divinylbenzene)-based microspheres as sorbent combined with LC-MS/MS. *J Chromatogr B Anal Technol Biomed Life Sci* 2018;1081–1082:15–24.
- Wang Y, Narayanapillai S, Hu Q, Fujioka N, Xing C. Contribution of tobacco use and 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone to three Methyl DNA adducts in urine. *Chem Res Toxicol* 2018;31:836–8.
- Tian Y, Hou H, Zhang X, Wang A, Liu Y, Hu Q. New validated LC-MS/MS method for the determination of three alkylated adenines in human urine and its application to the monitoring of alkylating agents in cigarette smoke. *Anal Bioanal Chem* 2014;406:5293–302.
- World Health Organisation. Kava: a review of the safety of traditional and recreational beverage consumption. *Food and Agriculture Organization of the United Nations* 2016;1:1–35.
- Lasme P, Davrieux F, Montet D, Lebot V. Quantification of kavalactones and determination of kava (*Piper methysticum*) chemotypes using near-infrared reflectance spectroscopy for quality control in vanuatu. *J Agric Food Chem* 2008;56:4976–81.
- Pearl PL, Drillings IM, Conry JA. Herbs in epilepsy: evidence for efficacy, toxicity, and interactions. *Semin Pediatr Neurol* 2011;18:203–8.
- Weeks BS. Formulations of dietary supplements and herbal extracts for relaxation and anxiolytic action: Relarian. *Med Sci Monit* 2009;15:RA256–62.
- Steiner GG. The correlation between cancer incidence and kava consumption. *Hawaii Med J* 2000;59:420–22.
- Henderson BE, Kolonel LN, Dworsky R, Kerford D, Mori E, Singh K, et al. Cancer incidence in the islands of the Pacific. *Natl Cancer Inst Monogr* 1985;69:73–81.
- Leitzman P, Narayanapillai SC, Balbo S, Zhou B, Upadhyaya P, Shaik AA, et al. Kava blocks 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone-induced lung tumorigenesis in association with reducing O6-methylguanine DNA adduct in A/J mice. *Cancer Prev Res* 2014;7:86–96.
- Triole J, Shaik AA, Gallaher DD, O'Sullivan MG, Xing C. Reduction in colon cancer risk by consumption of kava or kava fractions in carcinogen-treated rats. *Nutr Cancer* 2012;64:838–46.
- Tang SN, Zhang J, Jiang P, Datta P, Leitzman P, O'Sullivan MG, et al. Gene expression signatures associated with suppression of TRAMP prostate carcinogenesis by a kavalactone-rich Kava fraction. *Mol Carcinog* 2016;55:2291–303.
- Narayanapillai SC, von Weymarn LB, Carmella SG, Leitzman P, Paladino J, Upadhyaya P, et al. Dietary dihydromethysticin increases glucuronidation of 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone in A/J mice, potentially enhancing its detoxification. *Drug Metab Dispos* 2016;44:422–7.
- Narayanapillai SC, Lin SH, Leitzman P, Upadhyaya P, Baglote CJ, Xing C. Dihydromethysticin (DHM) blocks tobacco carcinogen 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK)-induced O6-methylguanine in a manner independent of the aryl hydrocarbon receptor (AhR) pathway in C57BL/6 female mice. *Chem Res Toxicol* 2016;29:1828–34.
- Narayanapillai SC, Balbo S, Leitzman P, Grill AE, Upadhyaya P, Shaik AA, et al. Dihydromethysticin from kava blocks tobacco carcinogen 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone-induced lung tumorigenesis and differentially reduces DNA damage in A/J mice. *Carcinogenesis* 2014;35:2365–72.
- Reagan-Shaw S, Nihal M, Ahmad N. Dose translation from animal to human studies revisited. *FASEB J* 2008;22:659–61.
- Wang YL, Eans SO, Stacy HM, Narayanapillai SC, Sharma A, Fujioka N, et al. A stable isotope dilution tandem mass spectrometry method of major kavalactones and its applications. *PLoS One* 2018;13:e0197940.
- Cappelleri JC, Bushmakina AG, Baker CL, Merikle E, Olufade AO, Gilbert DG. Confirmatory factor analyses and reliability of the modified cigarette evaluation questionnaire. *Addict Behav* 2007;32:912–23.
- Murphy SE, Park SS, Thompson EF, Wilkens LR, Patel Y, Stram DO, et al. Nicotine N-glucuronidation relative to N-oxidation and C-oxidation and UGT2B10 genotype in five ethnic/racial groups. *Carcinogenesis* 2014;35:2526–33.
- Wang Y, Fujioka N, Xing C. Quantitative profiling of cortisol metabolites in human urine by high-resolution accurate-mass MS. *Bioanalysis* 2018;10:2015–26.
- Slot C. Plasma creatinine determination. A new and specific Jaffe reaction method. *Scand J Clin Lab Invest* 1965;17:381–7.
- Wang Y, Narayanapillai SC, Hu Q, Fujioka N, Xing C. Detection and quantification of 4-hydroxy-1-(3-pyridyl)-1-butanone (HPB) from smoker albumin and its potential as a surrogate biomarker of tobacco-specific nitrosamines exposure and bioactivation. *Toxicol Lett* 2019;311:11–6.

Kava-induced Reduction in Lung Cancer Risk and Tobacco Use

36. Badrick E, Kirschbaum C, Kumari M. The relationship between smoking status and cortisol secretion. *J Clin Endocrinol Metab* 2007;92:819–24.
37. Garrett KM, Basmadjian G, Khan IA, Schaneberg BT, Seale TW. Extracts of kava (*Piper methysticum*) induce acute anxiolytic-like behavioral changes in mice. *Psychopharmacology* 2003;170:33–41.
38. Kuchta K, Schmidt M, Nahrstedt A. German kava ban lifted by court: the alleged hepatotoxicity of kava (*Piper methysticum*) as a case of Ill-Defined Herbal Drug Identity, lacking quality control, and misguided regulatory politics. *Planta Med* 2015;81:1647–53.
39. Teschke R, Qiu SX, Lebot V. Herbal hepatotoxicity by kava: update on pipermethystine, flavokavain B, and mould hepatotoxins as primarily assumed culprits. *Dig Liver Dis* 2011;43:676–81.
40. Olsen LR, Grillo MP, Skonberg C. Constituents in kava extracts potentially involved in hepatotoxicity: a review. *Chem Res Toxicol* 2011;24:992–1002.
41. LiverTox. Drug Record Kava Kava. Available from: <https://livertox.nlm.nih.gov/KavaKava.htm>; 2018.
42. Lebot V, Do TK, Legendre L. Detection of flavokavins (A, B, C) in cultivars of kava (*Piper methysticum*) using high performance thin layer chromatography (HPTLC). *Food Chem* 2014;151:554–60.
43. Narayanapillai SC, Leitzman P, O'Sullivan MG, Xing C. Flavokawains a and B in kava, not dihydromethysticin, potentiate acetaminophen-induced hepatotoxicity in C57BL/6 mice. *Chem Res Toxicol* 2014;27:1871–6.
44. Zhou P, Gross S, Liu JH, Yu BY, Feng LL, Nolte J, et al. Flavokavain B, the hepatotoxic constituent from kava root, induces GSH-sensitive oxidative stress through modulation of IKK/NF-kappaB and MAPK signaling pathways. *FASEB J* 2010;24:4722–32.
45. Boonen G, Haberlein H. Influence of genuine kavapyrone enantiomers on the GABA-A binding site. *Planta Med* 1998;64:504–6.
46. Dinh LD, Simmen U, Bueter KB, Bueter B, Lundstrom K, Schaffner W. Interaction of various *Piper methysticum* cultivars with CNS receptors *in vitro*. *Planta Medica* 2001;67:306–11.
47. Yuan CS, Dey L, Wang AB, Mehendale S, Xie JT, Aung HH, et al. Kavalactones and dihydrokavain modulate GABAergic activity in a rat gastric-brainstem preparation. *Planta Medica* 2002;68:1092–6.
48. Uebelhack R, Franke L, Schewe HL. Inhibition of platelet MAO-B by kava pyrone-enriched extract from *Piper methysticum* Forster (kava-kava). *Pharmacopsychiatry* 1998;31:187–92.
49. Magura EI, Kopanitsa MV, Gleitz J, Peters T, Krishtal OA. Kava extract ingredients, (+)-methysticin and (±)-kavain inhibit voltage-operated Na⁺-channels in rat CA1 hippocampal neurons. *Neuroscience* 1997;81:345–51.
50. Jamieson DD, Duffield PH. The antinociceptive actions of kava components in mice. *Clin Exp Pharmacol Phys* 1990;17:495–508.

Cancer Prevention Research

The Impact of One-week Dietary Supplementation with Kava on Biomarkers of Tobacco Use and Nitrosamine-based Carcinogenesis Risk among Active Smokers

Yi Wang, Sreekanth C. Narayanapillai, Katelyn M. Tessier, et al.

Cancer Prev Res 2020;13:483-492. Published OnlineFirst February 26, 2020.

Updated version	Access the most recent version of this article at: doi: 10.1158/1940-6207.CAPR-19-0501
Supplementary Material	Access the most recent supplemental material at: http://cancerpreventionresearch.aacrjournals.org/content/suppl/2020/02/26/1940-6207.CAPR-19-0501.DC1

Cited articles	This article cites 49 articles, 7 of which you can access for free at: http://cancerpreventionresearch.aacrjournals.org/content/13/5/483.full#ref-list-1
-----------------------	---

E-mail alerts	Sign up to receive free email-alerts related to this article or journal.
Reprints and Subscriptions	To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at pubs@aacr.org .
Permissions	To request permission to re-use all or part of this article, use this link http://cancerpreventionresearch.aacrjournals.org/content/13/5/483 . Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC) Rightslink site.